

## **EFFICIENT EXCITATION OF WAVEGUIDES IN CRANK-NICOLSON FDTD**

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**Abstract**—In this paper, we present a procedure to calculate the discrete modes propagated with Crank-Nicolson FDTD in metallic waveguides. This procedure enables the correct excitation of this kind of waveguides at any resolution. The problem is reduced to solving an eigenvalue equation, which is performed, both in a closed form, for the usual rectangular waveguide, and numerically in the most general case, validated here with a ridged rectangular waveguide.

## 1. INTRODUCTION

The Finite Difference Time Domain (FDTD) [1] method is one of the most widely used numerical techniques in computational electrodynamics. However, for many problems of interest it may become computationally inefficient, due to the upper limit for the time step imposed by the Courant-Friedrich-Lewy (CFL) stability condition [2]. There is a growing interest in overcoming this limitation by employing unconditionally stable implicit FDTD methods, for which time and space steps can be independently chosen. The unconditionally stable alternating direction implicit (ADI-FDTD) method [3, 4] and some of his variations (Locally One Dimensional [5, 6], split-step [7], etc.) have received a lot of attraction recently. These approximations suffer up to some extent of numerical errors, which may become severe for some practical applications [8, 9].

An alternative to ADI-FDTD based methods, is the Crank-Nicolson FDTD (CN-FDTD) method, for being unconditionally stable beyond the CFL limit, and not presenting the numerical errors found in these [8]. As in the classical FDTD, CN-FDTD replaces the time and space derivatives by second order centered differences, but unlike FDTD, the fields affected by the curl operators are also averaged in time. The resulting scheme is an unconditionally stable fully implicit marching-on-in-time algorithm.

Recently, both iterative preconditioned/non-preconditioned and direct solving of CN-FDTD [10–16] are paving the way to its development, and it is becoming a promising alternative to the classical Yee-FDTD method, which is worth to be extended to include all the features already developed for the classical FDTD method.

Two important problems, which have received a broad attention in literature, arise in the simulation of multimode waveguides by time domain methods: on one hand, the correct excitation of the incident modes at the feeding port and, on the other hand, their subtraction at the end of the guide [17–27].

In this paper, we apply some of these techniques to characterize the discrete modes (also known as mode templates [18]) propagating on arbitrarily-shaped conducting waveguides solved by the CN-FDTD method. For this purpose we find the solution of the eigenvalue problem numerically, for the general case, and analytically, for rectangular waveguides. The numerical procedure is validated here with a simple ridged rectangular waveguide.

## 2. DISCRETE PROBLEM

Let us assume a conducting waveguide with arbitrary cross section, filled up with a lossless homogeneous isotropic medium<sup>†</sup> with electrical parameters  $\varepsilon$  and  $\mu$ , and consider its axis in the  $Z$  direction. The modes propagating in the waveguide must satisfy Maxwell's curl equations, together with the boundary conditions at the metallic walls: null tangential components of the  $\vec{E}$  field, and null normal components of the  $\vec{H}$  field.

In order to solve this problem with CN-FDTD an average-in-time operator is applied to the fields affected by the space derivatives in Maxwell's curl equations, and all the derivative operators are replaced by the centered difference operator. This results in an unconditionally stable scheme [12], which permits to solve the fields located in the usual Yee-cube spatial disposition with an implicit-in-space marching-on-in-time algorithm

$$\mathcal{D}_u f(u, \dots) = \frac{f(u + \frac{\Delta u}{2}, \dots) - f(u - \frac{\Delta u}{2}, \dots)}{\Delta u} \quad (1)$$

$$\mathcal{P}_t f(t, \dots) = \frac{f(t + \frac{\Delta t}{2}, \dots) + f(t - \frac{\Delta t}{2}, \dots)}{2} \quad (2)$$

Placing the field components distributed in the usual Yee's cube [1], and using the usual FDTD notation ( $\psi^n(i, j, k) \equiv \psi(i\Delta x, j\Delta y, k\Delta z, n\Delta t)$ ) we can write CN-FDTD as

$$\begin{aligned} \varepsilon \mathcal{D}_t E_x^{n+\frac{1}{2}}(i+\frac{1}{2}, j, k) &= \mathcal{P}_t \left( \mathcal{D}_y H_z^{n+\frac{1}{2}}(i+\frac{1}{2}, j, k) - \mathcal{D}_z H_y^{n+\frac{1}{2}}(i+\frac{1}{2}, j, k) \right) \quad (3) \\ \varepsilon \mathcal{D}_t E_y^{n+\frac{1}{2}}(i, j+\frac{1}{2}, k) &= \mathcal{P}_t \left( \mathcal{D}_z H_x^{n+\frac{1}{2}}(i, j+\frac{1}{2}, k) - \mathcal{D}_x H_z^{n+\frac{1}{2}}(i, j+\frac{1}{2}, k) \right) \\ \varepsilon \mathcal{D}_t E_z^{n+\frac{1}{2}}(i, j, k+\frac{1}{2}) &= \mathcal{P}_t \left( \mathcal{D}_x H_y^{n+\frac{1}{2}}(i, j, k+\frac{1}{2}) - \mathcal{D}_y H_x^{n+\frac{1}{2}}(i, j, k+\frac{1}{2}) \right) \\ \mu \mathcal{D}_t H_x^{n+\frac{1}{2}}(i, j+\frac{1}{2}, k+\frac{1}{2}) &= \mathcal{P}_t \left( \mathcal{D}_z E_y^{n+\frac{1}{2}}(i, j+\frac{1}{2}, k+\frac{1}{2}) - \mathcal{D}_y E_z^{n+\frac{1}{2}}(i, j+\frac{1}{2}, k+\frac{1}{2}) \right) \\ \mu \mathcal{D}_t H_y^{n+\frac{1}{2}}(i+\frac{1}{2}, j, k+\frac{1}{2}) &= \mathcal{P}_t \left( \mathcal{D}_x E_z^{n+\frac{1}{2}}(i+\frac{1}{2}, j, k+\frac{1}{2}) - \mathcal{D}_z E_x^{n+\frac{1}{2}}(i+\frac{1}{2}, j, k+\frac{1}{2}) \right) \\ \mu \mathcal{D}_t H_z^{n+\frac{1}{2}}(i+\frac{1}{2}, j+\frac{1}{2}, k) &= \mathcal{P}_t \left( \mathcal{D}_y E_x^{n+\frac{1}{2}}(i+\frac{1}{2}, j+\frac{1}{2}, k) - \mathcal{D}_x E_y^{n+\frac{1}{2}}(i+\frac{1}{2}, j+\frac{1}{2}, k) \right) \end{aligned}$$

Following the way employed in the non-discrete case, we will search for discrete solutions of (3) with the general form

<sup>†</sup> Although the procedure is shown for simplicity for lossless media, it can be easily formulated for lossy media.

$\Psi^{m_t}(m_x, m_y, m_z) = \Psi_o(m_x, m_y) e^{-j\beta_g m_z \Delta z} e^{j\omega m_t \Delta t}$ , with  $\beta_g$  being the propagation constant along the waveguide,  $(m_x, m_y, m_z)$  integer/semi-integer multiples of the space step,  $m_t$  integer/semi-integer multiple of the time-step, and  $\Psi_o(m_x, m_y)$  the transversal profile amplitude. For these functions,  $\mathcal{D}_z$ ,  $\mathcal{D}_t$  and  $\mathcal{P}_t$  have the following eigenvalues respectively

$$a_z = -2j \frac{\sin(\beta_g \frac{\Delta z}{2})}{\Delta z}, \quad a_t = 2j \frac{\sin(\omega \frac{\Delta t}{2})}{\Delta t}, \quad n_t = \cos\left(\omega \frac{\Delta t}{2}\right) \quad (4)$$

The general solution of Equation (3), as in the non-discrete case, can be divided into two basic mode sets: Transverse Magnetic (TM), for which  $H_z = 0$ , and Transverse Electric (TE), for which  $E_z = 0$ . It can be seen that for both TM and TE modes, it is sufficient to obtain respectively  $E_{oz}$  and  $H_{oz}$ , to calculate the remaining components. For instance, for the TM polarization (3) is equivalent to

$$E_{ox}(i+\frac{1}{2}, j) = \frac{1}{\kappa^2} (a_z \mathcal{D}_x E_{oz}(i+\frac{1}{2}, j)) \quad (5a)$$

$$E_{oy}(i, j+\frac{1}{2}) = \frac{1}{\kappa^2} (a_z \mathcal{D}_y E_{oz}(i, j+\frac{1}{2})) \quad (5b)$$

$$H_{ox}(i, j+\frac{1}{2}) = \frac{1}{\kappa^2} (\nu_t \varepsilon \mathcal{D}_y E_{oz}(i, j+\frac{1}{2})) \quad (5c)$$

$$H_{oy}(i+\frac{1}{2}, j) = \frac{-1}{\kappa^2} (\nu_t \varepsilon \mathcal{D}_x E_{oz}(i+\frac{1}{2}, j)) \quad (5d)$$

$$(\mathcal{D}_x \mathcal{D}_x + \mathcal{D}_y \mathcal{D}_y + \kappa^2) E_{oz}(i, j) = 0 \quad (5e)$$

with the dispersion relationship

$$\kappa^2 = a_z^2 - \mu \varepsilon \nu_t^2, \quad \nu_t = \frac{a_t}{n_t} = 2j \frac{\tan(\omega \frac{\Delta t}{2})}{\Delta t} \quad (6)$$

If we place the staircased conducting walls along the planes of Yee's cube containing the  $E_z$  component (Fig. 1), the boundary conditions at the planes parallel to  $XZ$  are

$$E_{oz}(i, j_0) = 0 \quad (7a)$$

$$E_{ox}(i+\frac{1}{2}, j_0) = 0 \quad (7b)$$

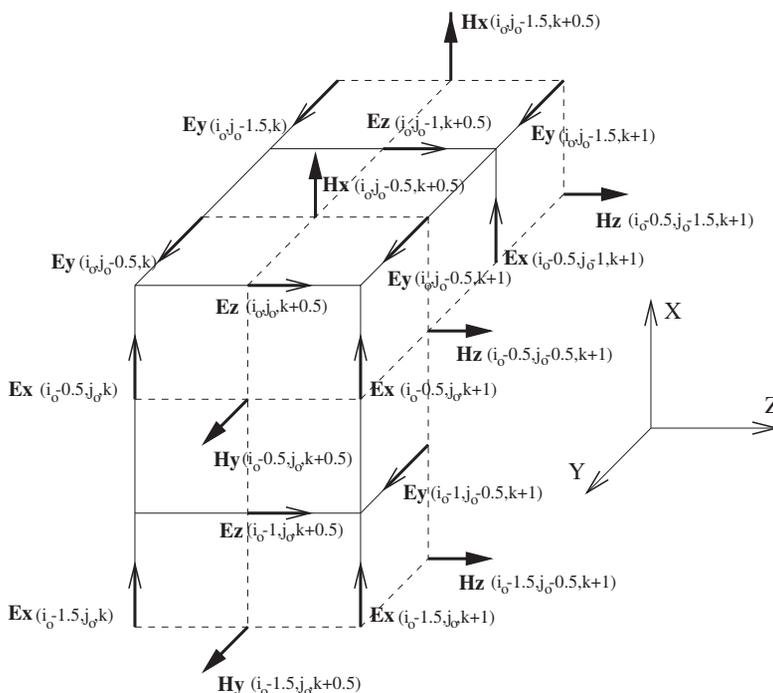
$$H_{oy}(i+\frac{1}{2}, j_0) = 0 \quad (7c)$$

and for the planes parallel to  $YZ$

$$E_{oz}(i_0, j) = 0 \quad (8a)$$

$$E_{oy}(i_0, j+\frac{1}{2}) = 0 \quad (8b)$$

$$H_{ox}(i_0, j+\frac{1}{2}) = 0 \quad (8c)$$



**Figure 1.** Arrangement of the fields at an edge of the guide.

It can easily be deduced from Equation (5), that (7a) and (8a) are enough to satisfy the remaining boundary conditions (7b), (7c) and (8b), (8c) automatically.

Analogously, the eigenvalue equation for the TE polarization is

$$(\mathcal{D}_x \mathcal{D}_x + \mathcal{D}_y \mathcal{D}_y + \kappa^2) H_{oz}(i+\frac{1}{2}, j+\frac{1}{2}) = 0 \tag{9}$$

Placing the waveguide walls in the same manner as in the TM case, the boundary conditions reduce to

$$\mathcal{D}_y H_{oz}(i+\frac{1}{2}, j_0) = 0 (XZ), \quad \mathcal{D}_x H_{oz}(i_0, j+\frac{1}{2}) = 0 (YZ) \tag{10}$$

These eigenvalue problems can be solved by numerical techniques, although an analytical solution can be sought in some simple cases. For instance, for a rectangular waveguide, a closed-form solution is shown in Table 1. It should be noticed that the non-discrete solution is obtained from the discrete one by replacing the space discrete variables in Table 1 by the continuous ones, and  $b_t$  by  $\omega$ ,  $b_x$  by  $\frac{p\pi}{a}$ ,  $b_y$  by  $\frac{q\pi}{b}$ , and  $b_z$  by  $\beta_g$ , which are their respective limits when all the increments tend to 0. The FDTD solution is totally similar just replacing  $\tan(\omega \frac{\Delta t}{2})$  by  $\sin(\omega \frac{\Delta t}{2})$  wherever it appears.

**Table 1.** Closed form of the discrete TE and TM modes for a rectangular waveguide of size  $a \times b$ .

TM ( $p, q$ non-null integers, $E_o$ arbitrary)		
$E_{ox}(i+\frac{1}{2}, j) = -j \frac{1}{\kappa^2} b_z b_x E_o \cos\left(\frac{p\pi}{a}(i+\frac{1}{2})\Delta x\right) \sin\left(\frac{q\pi}{b} j \Delta y\right)$		
$E_{oy}(i, j+\frac{1}{2}) = -j \frac{1}{\kappa^2} b_z b_y E_o \sin\left(\frac{p\pi}{a} i \Delta x\right) \cos\left(\frac{q\pi}{b}(j+\frac{1}{2})\Delta y\right)$		
$E_{oz}(i, j) = E_o \sin\left(\frac{p\pi}{a} i \Delta x\right) \sin\left(\frac{q\pi}{b} j \Delta y\right)$		
$H_{ox}(i, j+\frac{1}{2}) = j \frac{\varepsilon}{\kappa^2} b_t b_y E_o \sin\left(\frac{p\pi}{a} i \Delta x\right) \cos\left(\frac{q\pi}{b}(j+\frac{1}{2})\Delta y\right)$		
$H_{oy}(i+\frac{1}{2}, j) = -j \frac{\varepsilon}{\kappa^2} b_t b_x E_o \cos\left(\frac{p\pi}{a}(i+\frac{1}{2})\Delta x\right) \sin\left(\frac{q\pi}{b} j \Delta y\right)$		
$H_{oz}(i+\frac{1}{2}, j+\frac{1}{2}) = 0$		
TE ( $p, q$ non simultaneous null integers, $H_o$ arbitrary)		
$E_{ox}(i+\frac{1}{2}, j) = j \frac{\mu}{\kappa^2} b_t b_y H_o \cos\left(\frac{p\pi}{a}(i+\frac{1}{2})\Delta x\right) \sin\left(\frac{q\pi}{b} j \Delta y\right)$		
$E_{oy}(i, j+\frac{1}{2}) = -j \frac{\mu}{\kappa^2} b_t b_x H_o \sin\left(\frac{p\pi}{a} i \Delta x\right) \cos\left(\frac{q\pi}{b}(j+\frac{1}{2})\Delta y\right)$		
$E_{oz}(i, j) = 0$		
$H_{ox}(i, j+\frac{1}{2}) = j \frac{1}{\kappa^2} b_z b_x H_o \sin\left(\frac{p\pi}{a} i \Delta x\right) \cos\left(\frac{q\pi}{b}(j+\frac{1}{2})\Delta y\right)$		
$H_{oy}(i+\frac{1}{2}, j) = j \frac{1}{\kappa^2} b_z b_y H_o \cos\left(\frac{p\pi}{a}(i+\frac{1}{2})\Delta x\right) \sin\left(\frac{q\pi}{b} j \Delta y\right)$		
$H_{oz}(i+\frac{1}{2}, j+\frac{1}{2}) = H_o \cos\left(\frac{p\pi}{a}(i+\frac{1}{2})\Delta x\right) \cos\left(\frac{q\pi}{b}(j+\frac{1}{2})\Delta y\right)$		
$b_x = \frac{2 \sin\left(\frac{p\pi}{a} \frac{\Delta x}{2}\right)}{\Delta x}, \quad b_y = \frac{2 \sin\left(\frac{q\pi}{b} \frac{\Delta y}{2}\right)}{\Delta y}, \quad b_z = \frac{2 \sin(\beta_g \frac{\Delta z}{2})}{\Delta z}$		
$b_t = \frac{2 \tan\left(\omega \frac{\Delta t}{2}\right)}{\Delta t}$		
$\kappa^2 = 4 \frac{\sin^2\left(\frac{p\pi}{a} \frac{\Delta x}{2}\right)}{\Delta x^2} + 4 \frac{\sin^2\left(\frac{q\pi}{b} \frac{\Delta y}{2}\right)}{\Delta y^2} = a_z^2 - \nu_t^2 \mu \varepsilon$		
$\omega_{\text{cutoff}} = \frac{2}{\Delta t} \arcsin \left( \sqrt{\frac{\sin^2\left(\frac{p\pi}{a} \frac{\Delta x}{2}\right)}{(\Delta x/c\Delta t)^2} + \frac{\sin^2\left(\frac{q\pi}{b} \frac{\Delta y}{2}\right)}{(\Delta y/c\Delta t)^2}} \right)$		

To obtain a discrete numerical solution, for instance in the TE case, we first arrange the values of  $H_{oz}(i+\frac{1}{2}, j+\frac{1}{2})$  for all the discretized points on the waveguide cross section on a single column vector  $\vec{\Phi}$ , and then, replacing the transverse discrete Laplacian operator  $\mathcal{D}_x \mathcal{D}_x + \mathcal{D}_y \mathcal{D}_y$  by (1), Equations (9) and (10) can be explicitly written in matrix form as  $\tilde{M} \vec{\Phi} = -\kappa^2 \vec{\Phi}$ . Since  $\tilde{M}$  turns out to be a sparse matrix (no more than 5 non-null elements per line), this eigenvalue problem can be solved using well known linear algebra numerical techniques.

### 3. IMPLEMENTATION INTO CN-FDTD

The procedure described in [14] has been followed for the implementation of the CN-FDTD Equation (3). An iterative Krylov-based solution, employing the BiCGStab solver has been applied. The discrete mode has been fed into the computational space with a total-field/scattered-field formulation implemented by means of an equivalent set of surface currents on two Huygens' planes [2]: one to inject the propagating mode at a plane near one end of waveguide, and the other one close to the other end to suppress it. Simple Mur first order boundary conditions are placed at every end of the waveguide.

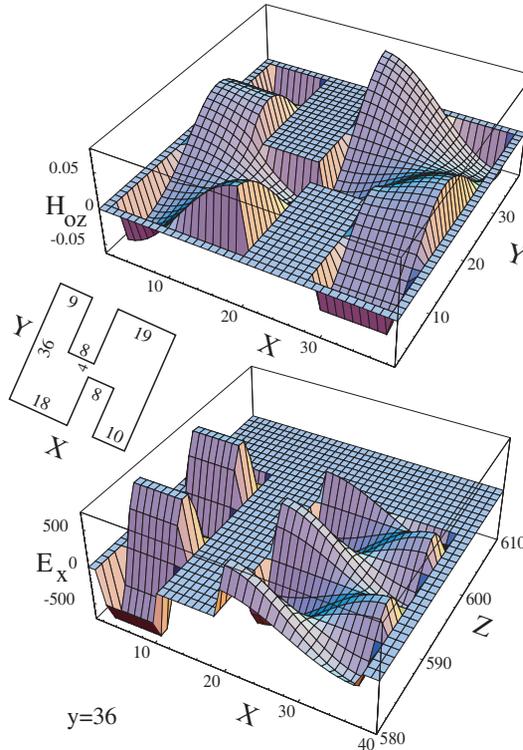
### 4. RESULTS

Using the IMSL eigenvalue routines, we have obtained the TE numerical discrete modes supported by an air-filled ridged rectangular waveguide (Fig. 2(middle)): a total number of 937 modes can propagate in the waveguide<sup>‡</sup>. In order to test the accuracy of the method, we have excited at 3.30 GHz the 9th TE mode, employing for the simulation  $\Delta x = \Delta y = 333.3$  mm,  $\Delta z = 9.0$  mm,  $\Delta t = 29.70$  ps. This mode, which has a numerical cutoff frequency of 33.30 MHz, propagates along the  $Z$  axis with a low resolution ( $\sim 10$  cells/wavelength), and it is poorly sampled in time ( $\sim 10$  samples/period). Fig. 2 shows the  $H_{oz}$  pattern of this mode, together with the propagation of  $E_x$  along  $Z$  at  $y = 38\Delta y$ , after 1200 time steps. The null field region beginning at  $z = 600\Delta z$  (the mode is excited at  $z = 400\Delta z$ ) corresponds to the scattered field zone. Less than 0.01% of the energy escapes from the total field region, which proves the accuracy of the predicted mode propagation. It bears noting that the numerical modes (eigenvectors) found for FDTD are the same as those of CN-FDTD since the space operators of both methods coincide. However the cut-off frequencies (eigenvalues) differ (sinus functions in FDTD and tangent functions in CN-FDTD), and the numerical dispersion also differs. Similar relative deviations between FDTD and CN-FDTD to the ones commented in the next example for the square waveguide are found (see [9] for more details on the dispersion topic).

We have also excited a 10 mm side air-filled square waveguide, with a discrete  $TM_{11}$  mode from Table 1 at 31 GHz. A coarse space-time sampling has been taken  $\Delta x = \Delta y = 1.667$  mm,  $\Delta z = 1.581$  mm,  $\Delta t = 3.12$  ps, which results in  $\sim 8$  cells/wavelength in the propagation direction, and  $\sim 10$  samples/period. The CN-FDTD cut-off frequency

<sup>‡</sup> The actual number of discrete modes is limited by the space discretization.

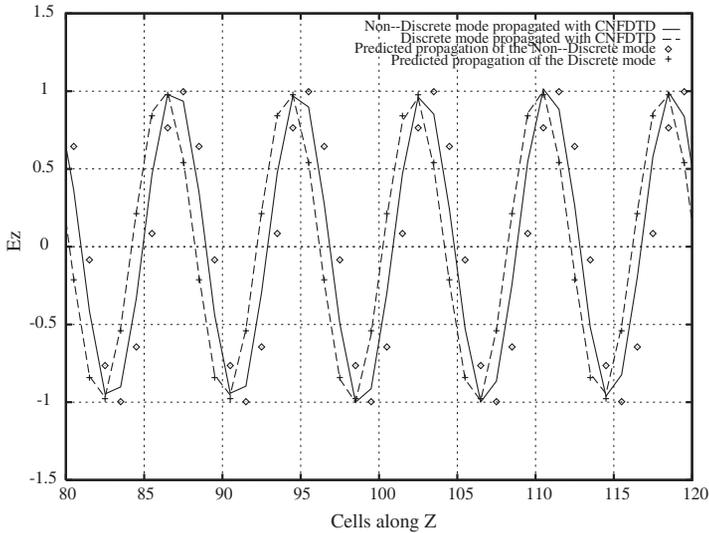
of this mode is  $f_c = 20.67 \text{ GHz}$ <sup>§</sup>. Fig. 3 shows the  $E_z$  profile at  $(x = 3\Delta x, y = 3\Delta y)$ , from  $z = 80\Delta z$  to  $z = 120\Delta z$  (the mode is excited at  $z = 0$ ), after 300 time steps. Perfect agreement is shown between the discrete mode propagated with CN-FDTD (dashed line) and its predicted propagation ('+' symbols), while phase differences can be appreciated between the non-discrete mode (sampled in time and in space) propagated with CN-FDTD (continuous line) and its predicted evolution ('◇' symbols)<sup>||</sup>.



**Figure 2.**  $H_{oz}$  pattern of the 9th TE mode for a ridged rectangular waveguide (top). Geometry of this guide (middle). Propagation along the  $Z$ -axis of the  $E_x$  component of the 9th mode, at  $y = 38$  cells (bottom). All dimensions are in cells ( $\Delta x = \Delta y = 333.3 \text{ mm}$ ,  $\Delta z = 9.0 \text{ mm}$ ,  $\Delta t = 29.70 \text{ ps}$ ).

<sup>§</sup> Just for comparison: the non-discrete cut-off frequency is  $f_c = 21.20 \text{ GHz}$  while the Yee-FDTD discrete one is  $21.11 \text{ GHz}$ . The FDTD discrete solution is closer to the non-discrete one, as expected, since the dispersion of CN-FDTD is higher than that of the classical Yee-FDTD [9].

<sup>||</sup> This behavior has also been discussed in [28].



**Figure 3.** Propagation along the  $Z$ -axis of the  $E_z$  component of the  $TM_{11}$  mode, at  $(x = 3\Delta x, y = 3\Delta y)$ , in a 6 cell square waveguide. Comparison between different excitations. ( $\Delta x = \Delta y = 1.667$  mm,  $\Delta z = 1.581$  mm,  $\Delta t = 3.12$  ps).

## 5. CONCLUSIONS

In this paper, we have presented a procedure to obtain the discrete numerical modes propagated by the CN-FDTD method in arbitrarily-shaped metallic waveguides. We have reduced the problem to the solution of an eigenvalue problem, which has been addressed in the general case by numerical techniques, and in an analytical manner for rectangular waveguides.

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