Cryptography and Network Security Chapter 3

Fifth Edition

by William Stallings

Lecture slides by Lawrie Brown

(with edits by RHB)

Chapter 3 – Block Ciphers and the Data Encryption Standard

All the afternoon Mungo had been working on Stern's code, principally with the aid of the latest messages which he had copied down at the Nevin Square drop. Stern was very confident. He must be well aware London Central knew about that drop. It was obvious that they didn't care how often Mungo read their messages, so confident were they in the impenetrability of the code.

—***Talking to Strange Men,*** **Ruth Rendell**

Outline

- will consider:
  - Block vs stream ciphers
  - Feistel cipher design & structure
  - DES
    - details
    - strength
  - Differential & linear cryptanalysis
  - Block cipher design principles

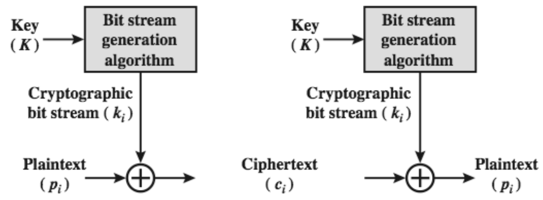
Modern Block Ciphers

- now look at modern block ciphers
- one of the most widely used types of cryptographic algorithms
- provide secrecy/authentication services
- Initially look at Data Encryption Standard (DES) to illustrate block cipher design issues

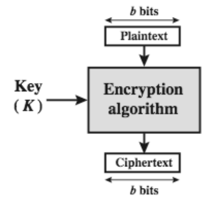
Block vs Stream Ciphers

- block ciphers process messages in blocks, each of which is then en/decrypted
- like a substitution on very big characters
  - 64-bits or more (these days, 128 or more)
- stream ciphers process messages a bit or byte at a time when en/de-crypting
- many current ciphers are block ciphers
  - better analysed
  - broader range of applications

Block vs Stream Ciphers



(a) Stream Cipher Using Algorithmic Bit Stream Generator

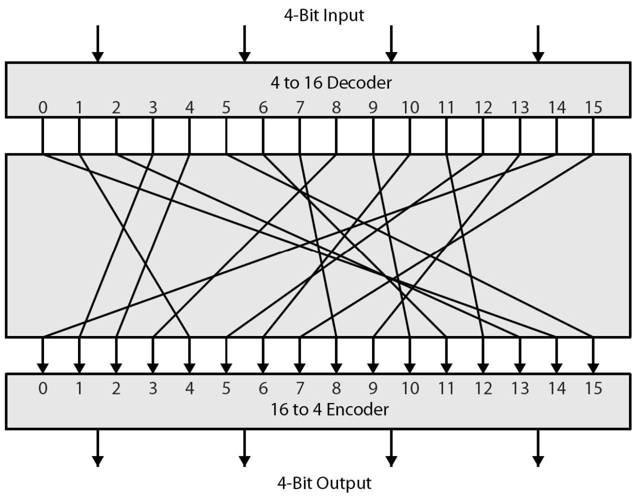


(b) Block Cipher

Block Cipher Principles

- many symmetric block ciphers are based on a **Feistel Cipher Structure** (more below)
- Feistel makes it possible **decrypt** ciphertext efficiently to recover messages
- block ciphers look like an extremely large substitution
- for a 64-bit block would need table of 2^{64} entries
- this 2^{64} entry table would be the ***key***
- instead create from smaller building blocks, using idea of a product cipher, … and ***a much smaller key***

Ideal Block Cipher



Claude Shannon and Substitution-Permutation Ciphers

- Claude Shannon introduced idea of substitution-permutation (S-P) networks in 1949 paper
- form basis of modern block ciphers
- S-P nets are based on the two primitive cryptographic operations seen before:
  - *substitution* (S-box)
  - *permutation* (P-box)
- provide *confusion* & *diffusion* (respectively) of message & key

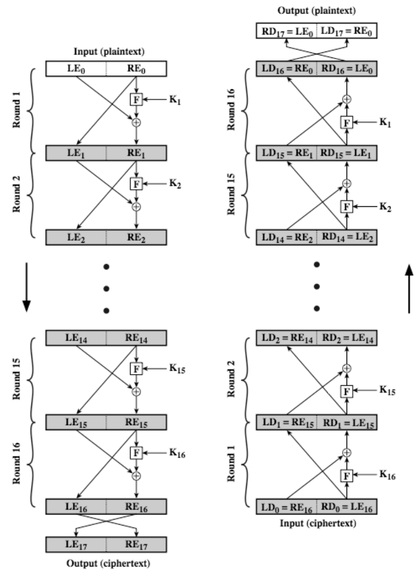
Confusion and Diffusion

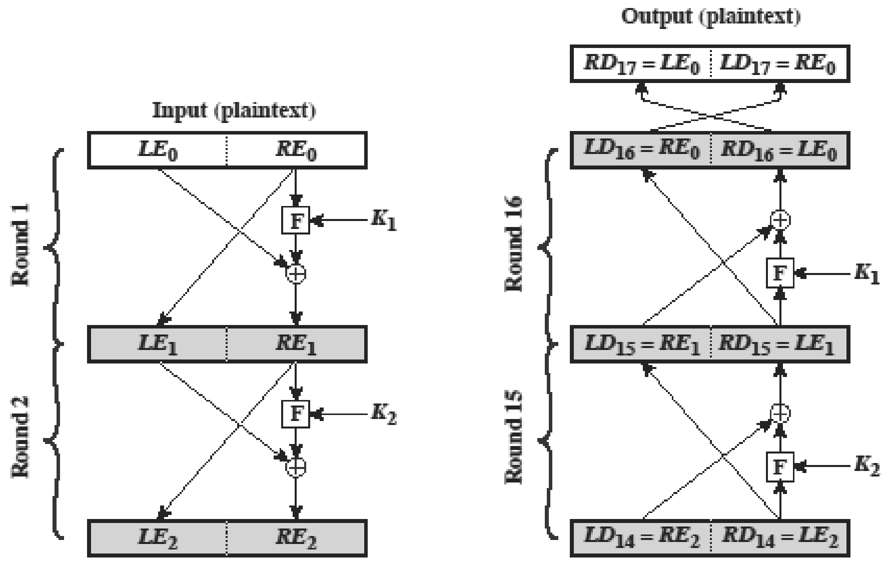
- cipher needs to completely obscure statistical properties of original message
- a one-time pad does this
- more practically Shannon suggested combining S and P elements to obtain:
- **confusion** (S) – makes relationship between ciphertext and key as complex as possible
- **diffusion** (P) – dissipates statistical structure of plaintext over bulk of ciphertext

Feistel Cipher Structure

- Horst Feistel devised the **Feistel Cipher**
  - based on concept of invertible product cipher
- partitions input block into two halves
  - process through multiple rounds which
  - perform a substitution on left data half
  - based on round function of right half & subkey
  - then have permutation swapping halves
- implements Shannon's S-P net concept

Feistel Cipher Structure





Feistel Cipher Design Elements

- block size
- key size
- number of rounds
- subkey generation algorithm
- round function
- fast software en/decryption
- ease/difficulty of analysis

Data Encryption Standard (DES)

- (used to be the) most widely used block cipher in world
- adopted in 1977 by NBS (now NIST)
  - as FIPS PUB 46
- encrypts 64-bit data using 56-bit key
- has widespread use
- has been considerable controversy over its security (esp. size of key)

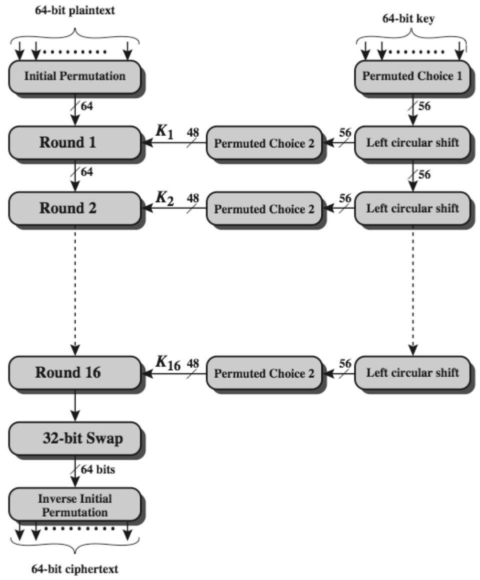
DES History

- IBM developed Lucifer cipher
  - by team led by Feistel in late 60's
  - used 64-bit data blocks with 128-bit key
- then redeveloped as a commercial cipher with input from NSA and others
- in 1973 NBS issued request for proposals for a national cipher standard
- IBM submitted their revised Lucifer which was eventually accepted as the DES

DES Design Controversy

- although DES standard is public
- was considerable controversy over design
  - in choice of 56-bit key (vs. Lucifer's 128-bit)
  - and because design criteria were classified
- subsequent events and public analysis show in fact design was appropriate
- use of DES flourished (from 80s to 00s)
  - especially in financial applications
  - still standardised for legacy application use

DES Encryption Overview



Initial Permutation IP

- first step of the data computation
- IP reorders the input data bits
- even bits to LH half, odd bits to RH half
- quite regular in structure (easy in h/w)
- example:

```
IP(675a6967 5e5a6b5a) = (ffb2194d 004df6fb)
```

DES Round Structure

- uses two 32-bit L & R halves
- as for any Feistel cipher can describe it as:

$$L_i = R_{i-1}$$
$$R_i = L_{i-1} \oplus F(R_{i-1}, K_i)$$

- F takes 32-bit R half and 48-bit subkey:
  - expands R to 48-bits using perm E
  - adds to subkey using XOR
  - passes through 8 S-boxes to get 32-bit result
  - finally permutes using 32-bit perm P

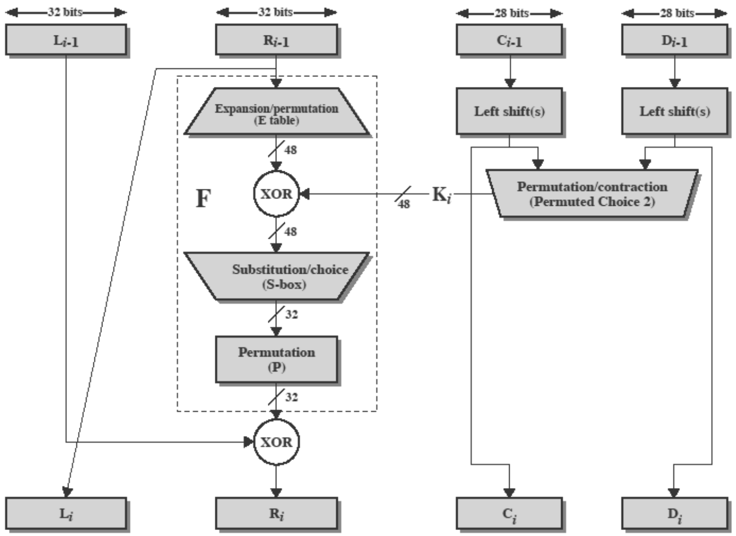


Figure 3.6 Single Round of DES Algorithm

DES permutations

Table 3.2 Permutation Tables for DES

(a) Initial Permutation (IP)

58	50	42	34	26	18	10	2
60	52	44	36	28	20	12	4
62	54	46	38	30	22	14	6
64	56	48	40	32	24	16	8
57	49	41	33	25	17	9	1
59	51	43	35	27	19	11	3
61	53	45	37	29	21	13	5
63	55	47	39	31	23	15	7

(b) Inverse Initial Permutation (IP^{-1})

40	8	48	16	56	24	64	32
39	7	47	15	55	23	63	31
38	6	46	14	54	22	62	30
37	5	45	13	53	21	61	29
36	4	44	12	52	20	60	28
35	3	43	11	51	19	59	27
34	2	42	10	50	18	58	26
33	1	41	9	49	17	57	25

(c) Expansion Permutation (E)

32	1	2	3	4	5
4	5	6	7	8	9
8	9	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	30	31	32	1

(d) Permutation Function (P)

16	7	20	21	29	12	28	17
1	15	23	26	5	18	31	10
2	8	24	14	32	27	3	9
19	13	30	6	22	11	4	25

Substitution Boxes S

- have eight S-boxes which map 6 to 4 bits
- each S-box is actually 4 little 4 bit boxes
  - outer bits 1 & 6 (**row** bits) select one row of 4
  - inner bits 2-5 (**col** bits) are substituted
  - result is 8 lots of 4 bits, or 32 bits
- row selection depends on both data & key
  - feature known as autoclaving (autokeying)
- example:
  - `S(18 09 12 3d 11 17 38 39) = 5fd25e03`

DES S-Boxes

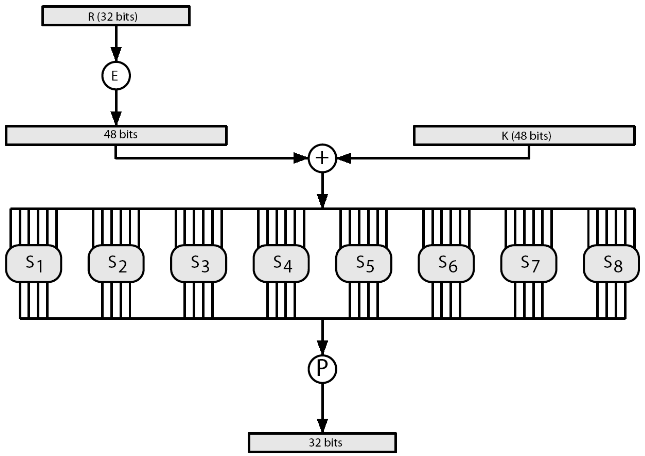


Table 3.3 Definition of DES S-Boxes

S_1	14	4	13	1	2	15	11	8	3	10	6	12	5	9	0	7
	0	15	7	4	14	2	13	1	10	6	12	11	9	5	3	8
	4	1	14	8	13	6	2	11	15	12	9	7	3	10	5	0
	15	12	8	2	4	9	1	7	5	11	3	14	10	0	6	13
S_2	15	1	8	14	6	11	3	4	9	7	2	13	12	0	5	10
	3	13	4	7	15	2	8	14	12	0	1	10	6	9	11	5
	0	14	7	11	10	4	13	1	5	8	12	6	9	3	2	15
	13	8	10	1	3	15	4	2	11	6	7	12	0	5	14	9
S_3	10	0	9	14	6	3	15	5	1	13	12	7	11	4	2	8
	13	7	0	9	3	4	6	10	2	8	5	14	12	11	15	1
	13	6	4	9	8	15	3	0	11	1	2	12	5	10	14	7
	1	10	13	0	6	9	8	7	4	15	14	3	11	5	2	12
S_4	7	13	14	3	0	6	9	10	1	2	8	5	11	12	4	15
	13	8	11	5	6	15	0	3	4	7	2	12	1	10	14	9
	10	6	9	0	12	11	7	13	15	1	3	14	5	2	8	4
	3	15	0	6	10	1	13	8	9	4	5	11	12	7	2	14
S_5	2	12	4	1	7	10	11	6	8	5	3	15	13	0	14	9
	14	11	2	12	4	7	13	1	5	0	15	10	3	9	8	6
	4	2	1	11	10	13	7	8	15	9	12	5	6	3	0	14
	11	8	12	7	1	14	2	13	6	15	0	9	10	4	5	3
S_6	12	1	10	15	9	2	6	8	0	13	3	4	14	7	5	11
	10	15	4	2	7	12	9	5	6	1	13	14	0	11	3	8
	9	14	15	5	2	8	12	3	7	0	4	10	1	13	11	6
	4	3	2	12	9	5	15	10	11	14	1	7	6	0	8	13
S_7	4	11	2	14	15	0	8	13	3	12	9	7	5	10	6	1
	13	0	11	7	4	9	1	10	14	3	5	12	2	15	8	6
	1	4	11	13	12	3	7	14	10	15	6	8	0	5	9	2
	6	11	13	8	1	4	10	7	9	5	0	15	14	2	3	12
S_8	13	2	8	4	6	15	11	1	10	9	3	14	5	0	12	7
	1	15	13	8	10	3	7	4	12	5	6	11	0	14	9	2
	7	11	4	1	9	12	14	2	0	6	10	13	15	3	5	8
	2	1	14	7	4	10	8	13	15	12	9	0	3	5	6	11

Note to compositor: get entire table on one page.

DES Key Schedule

- forms subkeys used in each round
  - initial permutation of the key (PC1) which selects 56-bits in two 28-bit halves
  - 16 stages consisting of:
    - rotating **each half** separately either 1 or 2 places depending on the **key rotation schedule** K
    - selecting 24-bits from each half & permuting them by PC2 for use in round function F
- note practical use issues in h/w vs. s/w

Table 3.4 DES Key Schedule Calculation

(a) Input Key

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56
57	58	59	60	61	62	63	64

(b) Permuted Choice One (PC-1)

57	49	41	33	25	17	9
1	58	50	42	34	26	18
10	2	59	51	43	35	27
19	11	3	60	52	44	36
63	55	47	39	31	23	15
7	62	54	46	38	30	22
14	6	61	53	45	37	29
21	13	5	28	20	12	4

(c) Permuted Choice Two (PC-2)

14	17	11	24	1	5	3	28
15	6	21	10	23	19	12	4
26	8	16	7	27	20	13	2
41	52	31	37	47	55	30	40
51	45	33	48	44	49	39	56
34	53	46	42	50	36	29	32

(d) Schedule of Left Shifts

Round Number	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Bits Rotated	1	1	2	2	2	2	2	2	1	2	2	2	2	2	2	1

DES Decryption

- decrypt must unwind steps of data computation
- with Feistel design, do encryption steps again using subkeys in reverse order (SK16 … SK1)
  - IP undoes final FP step of encryption
  - 1st round with SK16 undoes 16th encrypt round
  - ….
  - 16th round with SK1 undoes 1st encrypt round
  - then final FP undoes initial encryption IP
  - thus recovering original data value

DES Example

Round	K_i	L_i	R_i
IP		5a005a00	3cf03c0f
1	1e030f03080d2930	3cf03c0f	bad22845
2	0a31293432242318	bad22845	99e9b723
3	23072318201d0c1d	99e9b723	0bae3b9e
4	05261d3824311a20	0bae3b9e	42415649
5	3325340136002c25	42415649	18b3fa41
6	123a2d0d04262a1c	18b3fa41	9616fe23
7	021f120b1c130611	9616fe23	67117cf2
8	1c10372a2832002b	67117cf2	c11bfc09
9	04292a380c341f03	c11bfc09	887fbc6c
10	2703212607280403	887fbc6c	600f7e8b
11	2826390c31261504	600f7e8b	f596506e
12	12071c241a0a0f08	f596506e	738538b8
13	300935393c0d100b	738538b8	c6a62c4e
14	311e09231321182a	c6a62c4e	56b0bd75
15	283d3e0227072528	56b0bd75	75e8fd8f
16	2921080b13143025	75e8fd8f	25896490
IP^{-1}		da02ce3a	89ecac3b

Avalanche Effect

- key desirable property of any encryption algorithm
- want a change of **one** input or key bit to result in changing approx **half** output bits
- making attempts to "home-in" by guessing keys impossible
- DES exhibits strong avalanche

Table 3.6 Avalanche Effect in DES: Change in Plaintext

Round		δ	Round		δ
	02468aceeca86420 12468aceeca86420	1	9	c11bfc09887fbc6c 99f911532eed7d94	32
1	3cf03c0fbad22845 3cf03c0fbad32845	1	10	887fbc6c600f7e8b 2eed7d94d0f23094	34
2	bad2284599e9b723 bad3284539a9b7a3	5	11	600f7e8bf596506e d0f23094455da9c4	37
3	99e9b7230bae3b9e 39a9b7a3171cb8b3	18	12	f596506e738538b8 455da9c47f6e3cf3	31
4	0bae3b9e42415649 171cb8b3ccaca55e	34	13	738538b8c6a62c4e 7f6e3cf34bc1a8d9	29
5	4241564918b3fa41 ccaca55ed16c3653	37	14	c6a62c4e56b0bd75 4bc1a8d91e07d409	33
6	18b3fa419616fe23 d16c3653cf402c68	33	15	56b0bd7575e8fd8f 1e07d4091ce2e6dc	31
7	9616fe2367117cf2 cf402c682b2cefbc	32	16	75e8fd8f25896490 1ce2e6dc365e5f59	32
8	67117cf2c11bfc09 2b2cefbc99f91153	33	IP^{-1}	da02ce3a89ecac3b 057cde97d7683f2a	32

Table 3.7 Avalanche Effect in DES: Change in Key

Round		δ	Round		δ
	02468aceeca86420 02468aceeca86420	0	9	c11bfc09887fbc6c 548f1de471f64dfd	34
1	3cf03c0fbad22845 3cf03c0f9ad628c5	3	10	887fbc6c600f7e8b 71f64dfd4279876c	36
2	bad2284599e9b723 9ad628c59939136b	11	11	600f7e8bf596506e 4279876c399fdc0d	32
3	99e9b7230bae3b9e 9939136b768067b7	25	12	f596506e738538b8 399fdc0d6d208dbb	28
4	0bae3b9e42415649 768067b75a8807c5	29	13	738538b8c6a62c4e 6d208dbbb9bdeeaa	33
5	4241564918b3fa41 5a8807c5488dbe94	26	14	c6a62c4e56b0bd75 b9bdeeaad2c3a56f	30
6	18b3fa419616fe23 488dbe94aba7fe53	26	15	56b0bd7575e8fd8f d2c3a56f2765c1fb	33
7	9616fe2367117cf2 aba7fe53177d21e4	27	16	75e8fd8f25896490 2765c1fb01263dc4	30
8	67117cf2c11bfc09 177d21e4548f1de4	32	IP^{-1}	da02ce3a89ecac3b ee92b50606b62b0b	30

Strength of DES – Key Size

- 56-bit keys have $2^{56} = 7.2 \times 10^{16}$ values
- brute force search looks hard
- past advances have shown it is possible
  - in 1997 on Internet in a few months
  - in 1998 on dedicated h/w (EFF) in a few days
  - in 1999 above combined in 22hrs!
  - **Nowadays … can do it on a desktop!**
- still, it must be possible to recognize plaintext
- forced consideration of alternatives to DES

Attacks on DES – Timing Attacks

- attacks actual implementation of cipher
- use knowledge of consequences of implementation to derive information about some/all subkey bits
- specifically use fact that calculations can take varying times depending on the value of the inputs to it
- particularly problematic on smartcards

Attacks on DES – Analytic Attacks

- now have several analytic attacks on DES
- these utilise some deep structure of the cipher
  - by gathering information about encryptions
  - can eventually recover some/all of the sub-key bits
  - if necessary then exhaustively search for the rest
- generally these are statistical attacks
  - differential cryptanalysis
  - linear cryptanalysis
  - related key attacks

Differential Cryptanalysis

- one of the most significant (public) advances in cryptanalysis
- known by NSA in 70's … influenced DES
- Murphy, Biham & Shamir published in 90’s
- powerful method to analyse block ciphers
- used to analyse most current block ciphers with varying degrees of success
- DES reasonably resistant to it, cf. Lucifer

Linear Cryptanalysis

- another recent development
- also a statistical method
- must be iterated over rounds, with decreasing probabilities
- developed by Matsui et al in early 90's
- based on finding linear approximations
- can attack DES with 2^{43} known plaintexts
- easier but still in practise infeasible

DES Design Criteria

- as reported by Coppersmith in 1994
- 7 criteria for S-boxes provide for
  - non-linearity
  - resistance to differential cryptanalysis
  - good confusion
- 3 criteria for permutation P provide for
  - increased diffusion

Block Cipher Design

Many principles from Feistel in 70s still hold

- number of rounds
  - more is better, make exhaustive search the best attack option
- function f:
  - provides “confusion”, is nonlinear, avalanche
  - issues of how S-boxes are selected
- key schedule
  - complex subkey creation, key avalanche