A Personalisation Framework for Advanced Learning Technology

(Extended Version)

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Abstract

This paper focuses on ownership transfer *via personalisation as a value-adding strategy for advanced learning technology. It contributes an approach to endowing hyperlink-based systems (e.g., for learning) with features that enable the personalisation of the interactions between users and the system that embodies the learning material. A framework for the personalisation of hyperdocuments is defined that aims to make available to users of, e.g., electronic learning materials, features that allow them to interact with these documents in a manner much closer to that of owners of paper-based ones, while still benefitting from the ability to traverse contextual links and to exploit the computational environment in which the material is embedded.*

1. Introduction

The potential for harnessing technology to address issues of learning has long been recognised [14, 21]. It has gathered new momentum with the emergence of new hyperlink-based systems (HLBSs)[1] accessible via the Internet.

In a learning context, a significant limitation, of many current HLBSs is their inability to address users' information needs on an individualised basis [4, 6]. Most HLBSs provide distinct users with the same information retrieval possibilities and present that information in the same manner, disregarding user-specific information goals and individual histories.

Personalisable, adaptive hyperlink-based systems, more often referred to as *adaptive hypermedia*, (AH) [8] constitute an area of research that aims to enhance the functionality of HLBSs by making the user interaction process personalisable. The approach taken is to endow HLBSs with personalisation features which may be initiated by the users or by the system itself. AH are assumed to be useful in areas, such as learning, where users have different information seeking goals, histories and preferences. AH aim to use knowledge provided by (or captured about) specific users to tailor the information and the links presented to each specific user.

The predominant application areas for AH have been tutoring systems [2, 9, 15, 16] and educational hypermedia in general [4, 6, 10]. Such systems generally encompass relatively small bodies of information resulting in relatively small hypernetworks. Users usually have a specific goal, viz., to learn a subset of the information contained therein. An important requirement of AH is, therefore, the ability to accommodate different levels of user knowledge of the subject matter. As a result, in the educational domain, AH may be used to suggest to the user appropriate routes through the hypernetwork and to indicate, or even restrict users to, specific subsets of information on an individualised basis [7].

In this paper we focus on *ownership transfer* via personalisation as a value-adding strategy for advanced learning technology. We propose a system-independent approach to the personalisation of learning materials deployed as HLBSs. The approach facilitates the personalisation of the interaction process between users and the learning materials, thereby enabling individual users to come closer to satisfying their specific, dynamic information needs.

The rest of the paper is structured as follows. To motivate the contributions of the paper, Section 2 contrasts what actions owners of paper-based materials can perform that users of hyperdocuments cannot, and vice-versa. Section 3 introduces the framework contributed by the paper. Section 4 uses an example to show how the framework proposed allows the desiderata identified in Section 2 to be met. Section 5 compares our contribution with that of other re-

[1] This term, which is adopted throughout the paper, is used in preference to hypertext and hypermedia not only because it is more general, but also because it highlights the truly distinctive characteristic of hypertext and hypermedia systems, viz., linking.

searchers. Finally, Section 6 draws a few conclusions.

2. Motivation

This section motivates the idea of ownership transfer via personalisation as a value-adding strategy in HLBSs for learning by contrasting the kind of personalisation actions that owners of traditional learning materials (such as printed books) and users of HLBSs can carry out.

Consider the question as to how students interact with a textbook over extended periods of learning. Furthermore, consider interactions which would be difficult or impossible if the book were a hyperdocument. Some of the personalisation actions that fall into this category include:

- *Selective Reading*: To open and read some page(s) only, possibly consulting the table of contents or index, and perhaps only partially;

  In current hyperdocuments, options for traversal are defined by the links the designer of the hyperdocument has embedded in it. Users are not provided with facilities to make links between pages and therefore define their own paths through the material. Also, when a user does want to traverse a link defined by the designer, there is no metadata about the target, thereby making it impossible not to commit to the traversal. Since traversing links can incur high computational costs, frustration could ensue.

- *Annotation*: To add to the original printed text handwritten material that, for the student, enriches the meaning of the text. Examples include underlining or highlighting a passage, writing comments on the margin, cross-referring to some other page of the book or some other information resource (e.g., a website, another book, etc.);

  At present, to the authors' knowledge, no HLBS deployed on the WWW provides features to allow users to annotate parts of a hyperdocument in context, i.e., at particular points in the text.

- *Content Insertion and Deletion*: To add content (e.g., copied from another book, or a website) at specific points and to delete (or cross out, not necessarily physically) parts of the text;

  Features to enable the users of a hyperdocument to tailor its content have also not been widely implemented. In particular, users are not given the ability to remove parts of a hyperdocument which they deem inappropriate for study at a point in time.

- *Bookmarking*: To leave markers at particular pages (e.g., to indicate that they are more important in some respect than others). Note that marked pages are easily identifiable for selective reading.

  Although WWW browsers allow users of HLBSs to bookmark pages, such bookmarks are often limited to recording only the Internet address of a page. Users are not given the opportunity of indicating the importance of a bookmarked page over another or why a page is bookmarked at all.

Each of the above actions may be admissible on paper-based materials that the student owns but markedly difficult on hyperdocuments because the student has no means of claiming ownership of the material nor of personalising the latter (even if ownership could be transferred from the designer to the student, because the student may not have the designer knowledge required for personalisation to be carried out).

Now consider the question as to how students would interact with a textbook implemented as a HLBS. Furthermore, consider interactions which would be difficult or impossible if the book were *not* a hyperdocument. Some actions that fall into this category include:

- *Context-Based, Nonlinear Navigation*: To benefit from the availability of contextual links, at points deemed appropriate by the designer of the hyperdocument, and traverse the material nonlinearly;

- *Dynamic Information Management*: To make use of computational resources to react and respond to requests dynamically (e.g., to benefit from the latest version of, possibly remote, independently generated and maintained hyperdocuments).

Each of the above actions may be admissible on electronic materials that a designer prepares, but markedly difficult on paper-based documents even if the student owns them.

In summary, although users of hyperdocuments benefit from the concept of linking and from the fact that electronic media typically exist in a computing environment, there are many useful actions that the owner of paper-based documents can take that are not open to users of hyperdocuments. Transfer of ownership via personalisation actions seems, therefore, to be central issue in deploying HLBS in learning.

3. Framework

This section introduces a framework for the personalisation of hyperdocuments that aims to make available to users of, e.g., electronic learning materials, features that allow these users to interact with the hyperdocuments in a manner much closer to that of owners of paper-based ones, while

still benefitting from the ability to traverse contextual links and to exploit the computational environment in which the material is embedded.

A general, open architecture for HLBSs, of the kind depicted in Figure 1 as a simplified data flow diagram, is assumed. Here we propose one view of the interior of the shaded oval in Figure 1. It is assumed that hyperlink functionality is provided as a client technology dependent on loose couplings to (at least) a user-interface server (UIS) and a database server (DBS). The classical example of a UIS is a WWW browser (e.g., Netscape Communicator). Among other functions, browsers broker requests and have rendering capabilities. Browsers can render formal texts (e.g., rendering expressions authored in HTML or XML). Examples of DBSs are database management systems (DBMSs) which support client server architectures (e.g., Oracle, or Informix).

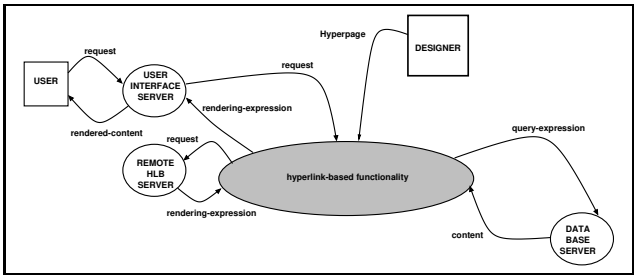

Figure 1. An Architecture for HLBSs

Broadly, the dynamics associated with Figure 1 are as follows. The UISs capture requests for desired hyperpages. The UISs channel requests for hyperpages into the HLBS proper. If a request is for a hyperpage which resides in a remote HLBS, then the core of hyperlink-based functionality interacts with it to obtain the requested hyperpage in the form of a rendering expression that it passes back for the UIS to render. If the request is for a local hyperpage (e.g., one which is known to the core) then the latter responds by returning a rendering expression to the UISs, possibly after querying one or more DBSs to fetch some or all of the content specified for the requested hyperpage.

Implicit in Figure 1 is the assumption that personalisation actions in HLBSs should not, and need not, be confounded with personalisation actions that might be provided by user-interface and database components in HLBS architectures. The shaded oval in Figure 1 is responsible for what users experience as hyperlink-based information retrieval. Notwithstanding the fact that users may well want to personalise database and user-interface features, clearly it can be argued that whatever is in scope for personalisation actions *in HLBSs* resides inside the shaded oval.

To model adaptive, personalisable hyperlink-based interaction, a framework is proposed in which the shaded oval in Figure 1 is partitioned into regions. Non-adaptive, non-personalisable HLBSs are modelled by a group of functions referred to as the *H-region*. Personalisable HLBSs require the addition to the H-region of the functions provided by the *P-region*. Adaptivity, seen as user-specific, system-initiated personalisation, comprises another region of functionality but is outside the scope of this paper (see [18, 19] for details).

3.1 Core Hyperlink-Based Functionality

The H-region models a core of hyperlink-based functionality. Conceptually, the H-region behaves as a *composer of hyperdocuments from specifications*, i.e., what the designer of a hyperpage designs is not a hyperpage, but rather a specification of how to build the hyperpage upon request. Hyperpages are modelled as formal specifications and a formal language has been defined for this purpose [18].

Within the H-region, users can only request for hyperpages to be rendered. The decisions that the designers of a hyperdocument have made with respect to content, navigation and rendering cannot therefore be overridden. Upon a request arriving from a UIS, a composition function parses the hyperpage specification into a series of actions that, when executed, convert the specification into renderable text that is sent to the UIS as the HLBS's response to the original request.

The semantics of a hyperpage specification (of which an example is shown in Figure 3) have been formalised as a program which, when interpreted, typically fetches content from a DBS, composes the content into a renderable text (making use of template variables as a binding mechanism) and finally responds to the original request with renderable text. Figure 4 indicates how the hyperpage specified in Figure 3 might be rendered.

3.2 Personalisable Hyperlink-Based Interaction

The P-region comprises a group of functions that are non-disruptively added to the H-region in order to model personalisable hyperlink-based interaction. Personalisation is viewed as the process of handing over to the user the ability to *annotate specifications or rewrite them or both,* thereby allowing the user to override, in principle, each and every designer specification. Within the P-region, users can not only request a hyperpage, but also annotate or rewrite it, thereby creating their own version of it. The decisions that the designers of that hyperpage have made with regard to content, navigation and rendering of the hyperpage can therefore be overridden by users and this kind of event characterises ownership transfer.

When personalisation functionality is layered over the core, a designer can annotate a hyperpage in preparation for

differences in users' goals and histories. Later, a user can personalise, not only such annotations (of which an example is shown in Figure 5), but the hyperpage specifications as well. Personalisation requests (of which examples are shown in Figure 6) allow users to specify which hyperpages are to be personalised and how they should be transformed.

Annotation pairs a hyperpage specification with notes of interest to the user and, by doing so, implies that versioning takes place. Such notes take one of the following forms. Firstly, a note can assign user-specific values to user-generic attributes of interest (e.g., that the level of difficulty of a given page or component part is high, or that 'email' is a keyword of relevance to a given page). Secondly, a note can specify a rewriting action over the renderable text after it has been composed by the H-region, i.e., after content has been fetched and made renderable (e.g., to map American into British spelling forms). This form of post-composition rewriting can also be conditional on the environment (e.g., replace images with captions if the display unit is text-only).

The existence of annotations on hyperpages allows for: personalisation of a specified hyperpage; the specification of *alternatives* to a specified hyperpage; the specification of *comparable* hyperpages to a specified one and the recording of information about a hyperpage (i.e., what are the current values of attributes set by previous annotations).

Annotations are not operations on hyperpage specifications, i.e., they do not alter the latter. Rather, they give rise to a user-specific pairing with a hyperpage specification. Rewriting also causes versioning and can be characterised simply as the editing of hyperpage specifications. If a user edits the hyperpage specification as conceived by its designers, ownership is thereby transferred. If, subsequently, the same user edits that hyperpage specification again, adjustment takes place.

A formal language for annotations has been defined as has a formal language for the personalisation requests used to maintain annotations and hyperpage specifications [18]. Personalisation requests allow users to take the following actions to enhance their interaction process:

1. *Content Tailoring*: The insertion or deletion of hyperpages and, recursively, their page parts;
2. *Selective Content*: The hiding from users of parts of the content of hyperpages which they wish not to see;
3. *Content Rewriting*: The rewriting of specified phrases in hyperpages;
4. *Prerequisite Content Selection*: The fetching and displaying of prerequisite content for a particular hyperpage or page part;
5. *Linking*: The insertion of hyperlinks between hyperpages and their page parts;
6. *Link Annotation*: The annotation of links made between hyperpages and their page parts;
7. *Link Hiding and Blocking*: The hiding or blocking of links on a hyperpage.

4. Personalisation at Work

This section uses an example to show how the desiderata identified in Section 2 are met by the functionality arising from the framework described in Section 3.

Consider Figure 2 where an excerpt from [20] is transcribed.

IMAP, the Internet Message Access Protocol, is a newer protocol that has advantages over POP and that may, one day, replace POP. IMAP, like POP, can download e-mail, delete mail from the server, or simply ask if there is new mail. IMAP, however, improves upon some of the shortcomings of POP. For instance, it defines how a client program asks a mail server to present available mail. IMAP can request that the server download only selected e-mail messages rather than all messages. Your e-mail client can view just the heading and the name of the sender of the e-mail message and then decide whether to download the message. IMAP, through your client e-mail program, allows you to create and manipulate mail folders or mailboxes on the server, delete messages, and search for certain parts of a note or an entire note – all without down-loading mail from the server to your PC.

Figure 2. An Excerpt from [20]

To specify a hyperpage, the approach taken is to divide a page it into a sequence of segments called *chunks*. Each chunk comprises a specification of its content (i.e., figures, text – either hardwired or returned as the result of queries sent to databases –, etc.) and a specification of how this content should be rendered for presentation (e.g., via HTML tags). A chunk typically contains template variables. Conceptually, a template variable is bound to bits of text or else acts as a place holder for content that becomes available after evaluation of the query associated with the variable. The rendering part is specified in a language which the UIS can render, except that this renderable text may be interspersed with template variables. After content is retrieved, the template variables are bound and textual replacement takes place by substituting values (i.e., retrieved content) for references (i.e., occurrences of template variables). The overall result is renderable text (e.g., HTML).

In addition, a chunk may be associated with two sets of identifiers. The first is the set of entry points to the chunk; the second, the set of its exit points. An entry point enables the chunk (as an anchor in the page) to be referenced in a request. An exit point enables a chunk to establish navigable links to the anchor denoted by the exit point. In WWW

parlance, an entry point can be thought of as a *URL* and an exit point as a *hyperlink*.

```
page{
 chunk{
  entry{
    [<a name="Email Message Protocol"></a>]  }
  content{
    A := 'IMAP',
    B := ', the',
    C := ' Internet Message Access Protocol',
    D := ' is a newer protocol that has advan-
tages over ',
    E := 'POP',
    F := ' and that may, one day, replace POP. IMAP,
         like POP, can download e-mail, delete mail
         from the server, or simply ask if there is
         new mail. IMAP, however, improves upon some
         of the shortcomings of POP. For instance,
         it defines how a ',
    G := 'client program',
    H := ' asks a ',
    I := 'mail server',
    J := ' to present available mail. IMAP can request
         that the server download only selected  e-mail
         messages rather than all messages. Your e-mail
         client can view just the heading and the name
         of the sender of the e-mail message and then
         decide whether to download the message.'  }
  rendering{
    [<b><a href="imap.html">          A </a></b>] B
    [<b><a href="imap.html">          C </a></b>] D
    [<b><a href="pop.html">           E </a></b>] F
    [   <a href="clientprogram.html"> G </a>    ] H
    [   <a href="mailserver.html">    I </a>    ] J  } }
 chunk{
  entry{
    [<a name="IMAP">IMAP </a>]  }
  content{
    A := 'select definition
          from   glossary
          where  term = 'IMAP'  }
  rendering{
    'is defined as: '] A  } } }
```

Figure 3. Fig. 2 as a Hyperpage Specification

Figure 3 shows one way in which Figure 2 might be written as a hyperpage specification. It is assumed that database queries for retrieving content are written in SQL and that HTML is used in the construction of a renderable text. Due to lack of space, we omit details as to how client-server relationships are established. For more detail on how the evaluation of Figure 2 into renderable text takes place, see [18]. Figure 4 depicts the hyperpage after it has been rendered by a UIS (in this case, an HTML browser).

4.1 Hyperpage Annotations

A hyperpage annotation pairs a hyperpage specification with notes of interest. An annotation is, roughly, a collection of contextualised attribute-value pairs. An example of annotation for the text specified in Figure 3 is given in Figure 5. The attributes used are *description*, to let the user provide a summary or abstract; *keyword*, to let the user tag content and thereby classify the information contained; *level*, to

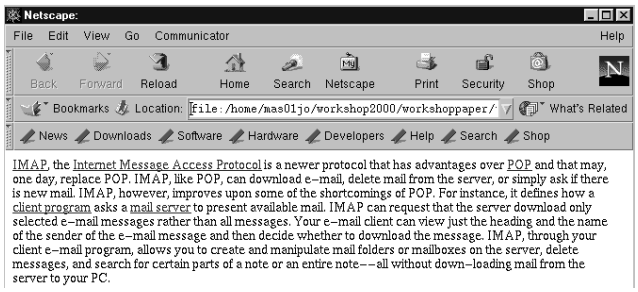

Figure 4. Possible Rendering of Fig. 3

let the user attach a measure (e.g., of difficulty) to the text; and *see-as-well*, to let the user specify hyperpages that describe comparable information. Again, [18] provides more details.

The annotation in Figure 5 will be associated with the hyperpage specification in Figure 3. Conceptually, this causes the versioning of the page specifically for the annotator.

```
annotation{
 page:
  description := 'defines and contrasts IMAP with
                  other Internet protocols for
                  e-mail services';
  level       := 1;
  see-as-well := [http://www.internetmail.com/];
  keyword     := 'e-mail', 'electronic mail';
 [1, chunk]:
  description := 'Internet Message Access Protocol'
  keyword     := email, 'IMAP', 'POP',
                 'Internet Message Access Protocol';
  see-as-well := '[page-60.html]'
 [2, chunk]:
  description := 'Definition of IMAP
                  retrieved as dynamic content';'
 [2, chunk (R-spec)]:
  keyword     := 'Plain text'; }
```

Figure 5. Annotating Fig. 3

4.2 Personalisation Requests

Personalisation requests allow a user (e.g., a student) to generate annotations, to update annotations (e.g., those provided at source by the designer), and to update (by versioning) the hyperpage specifications themselves. A few examples of personalisation requests (in a language, and with a semantics, formally defined in [18]) that a student might issue (to the hyperdocument of which Figure 3 is a page) are depicted in Figure 6.

Example 1 in Figure 6 is a request to tailor the content found in the book. The request applies to all hyperpages (because the selection condition is vacuously `true`). Its effect is to insert the string `"These pages belong to X"` into the rendering specification of the first chunk of each page. Example 2 is a request to add content. The request is applied

```
select-page-if                                % Example 1
  true
hp-then-do {
  insert [1, chunk (R-spec)]
     "These pages belong to James Fernandes."  }
                                              % ---------
select-page-if                                % Example 2
  [5, chunk] contains "electronic mail"
hp-then-do {
   insert [6, chunk]
     chunk {
       content  { X := 'Most recent email systems
                        support sound and video.'  }
       rendering { [<I>] X [</I>]} } }
                                              % ---------
select-page-if                                % Example 3
  true
ann-then-do {
  insert page :
       "www.ebook.com"
    -> "www.ecommercebook.com"; }
```

Figure 6. Personalising Fig. 3

to all hyperpages that contain the string "electronic mail" in its 5th chunk. The effect on each selected hyperpage is the insertion of a new 6th chunk. Example 3 in Figure 6 is a request to rewrite a specified string. The request applies to all hyperpages and has the effect of inserting into the annotation of each hyperpage a request (as denoted by the arrow ->') that all occurrences of the string `"www.ebook.com"` in the composed text be rewritten as `"www.ecommercebook.com"` when rendered.

The example in this section, in spite of space limitations, illustrates how hyperpage specifications that can be annotated and maintained via personalisation requests enables users of hyperdocuments to claim ownership by actions that version pages by means of annotations and rewriting requests.

5. Comparison

This section compares and contrasts the proposed framework with related work on modelling and implementing personalisation in HLBSs.

Little research has been conducted into formalising personalisation in HLBSs themselves. Most work has concentrated on providing personalisation features via data querying mechanisms (e.g., [1, 3, 12]). Although these contributions show how personalisation may be experienced, it can be argued that they merely exploit DBMS functionality rather than account for how hyperlink-based interaction itself can be tailored in a principled manner.

We have formalised (in [18]) a complete space of possibilities for personalisation actions and the adoption of our framework enables a system to support all the personalisation actions described in [7] and implemented in HLBSs such as Adaptive HyperMan [17], ELM-ART [9] and Hypadapter [13]. Moreover, while in our framework every design decision can be overridden no proposal in the literature is as expressive as ours. In this way, we provide a more complete and coherent account of how personalisation enables and fully characterises ownership transfer.

In its aims, our framework subsumes the work on the AH reference model AHAM [11]. However, the explicit aim of the AHAM is to represent techniques that have been implemented and hence it serves as a primary reference for comparative studies. In contrast, the work reported here aims to induce a set of personalisation actions from a formal definition of a core of hyperlink functionality. Rather than simply formalising implemented examples of personalisation actions, we have induced a complete space of possibilities for personalisation actions. In this way, we provide a methodological approach to the area that goes beyond the effort embodied in the AHAM.

Work on XML [5] bears some resemblance with ours. Both approaches seek to divide a hyperdocument into units which either specify data or delineate regions where data may be obtained. The use in XML of arbitrary-value pairs associated with a document's structure, can be contrasted with our notion of note. The functionality of an XML-based HLBS is not expected to be dissimilar from that of the H-region. However, we propose an abstract model of hyperlink-based interaction and not just of specification. Also, the specification elements in our framework may, without loss of expressiveness or generality, be recast in SGML/XML.

6. Contributions and Conclusions

The challenge which the research [18, 19] underlying this paper aims to meet is how to model, at a suitable level of abstraction, the space of possibilities for personalisation actions that could be made available to users of hyperlink-based learning systems.

To respond to this challenge, we have adopted the notion of ownership transfer as a means of providing a HLBS user with a learning experience that approximates that of printed materials while preserving, and building on, the benefits associated with HLBS technology. To enable ownership transfer, we have focussed on personalisation actions. To make it possible for personalisation actions to become part of HLBSs we have proposed a framework based on hyperpages as specifications of dynamic content and rendering, preferences as hyperpage versioning by annotation, and personalisation requests as the events that cause that versioning to take place.

We have exemplified how our framework is a step towards bringing together the complementary benefits derived from paper paper materials owned by a student and from hyperdocuments that the student can only use but not person-

alise. The framework constitutes, therefore, a contribution to the goal of enabling HLBSs to address user information needs on an individualised basis, as discussed, e.g., in [4, 6]. Our framework can be concretely used as a basis for the implementation of a class of HLBSs (one instance of which is described in [18]) as well as for the study of implemented systems such as [2, 9, 15, 16] and others.

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