



CS3191 Section 6

Games and evolution

Andrea Schalk

School of Computer Science, University of Manchester

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We consider different ways of **presenting the strategies present** in the system.

Lastly we look at further **biological games**.



An ecological tournament

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We will see how much we can say about this situation.

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- Individuals might **learn** from mistakes.
- Individuals might **imitate** more successful others.
- Successful individuals might have **more offspring** than those who are struggling.

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We first look at **global** systems before transferring our insights to **local** ones.

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This tournament was won by **TITFOR TAT**, which ended up with a 15% share of the entire population, fifteen times the number it started with.



Invaders and collective stability

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Proposition 6.1 *In an indefinitely repeated game of Prisoner's Dilemma with two players there is **no one best strategy** if w is large enough.*

In other words whether a strategy does well depends on which other strategies are present!

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Its pay-off when playing against **ALWAYS D** is

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ALWAYS D playing against **ALWAYS D** gets a pay-off of

$$P + wP + w^2P + \dots = P + \frac{wP}{1-w},$$

which is higher. Since we have found a better strategy for this situation, the one we started with can't have been the best overall.

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ALWAYS C is better off than our strategy if

$$R + \frac{wR}{1-w} > T + \frac{wP}{1-w}, \quad \text{that is when} \quad R > T(1-w) + wP$$

which is the case if and only if

$$w > \frac{T-R}{T-P}.$$

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Now assume that our best strategy defects on the first move.

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Now the latter is bigger than the former provided that $w > \frac{T-R}{T-P}$.

Hence if w is larger than this threshold value then we have once again found a strategy (`ALWAYS C`) which performs better (against `GRUDGE`) than our best strategy. Therefore such a best strategy cannot exist.

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Definition 12 Let $P(A, B)$ be the pay-off that a strategy A receives when playing indefinitely repeated Prisoner's Dilemma against a strategy B . We say that a strategy B can **invade** a native strategy A if it gets a higher pay-off when playing against A than A gets when playing against itself, that is if

$$P(B, A) > P(A, A).$$

We say that a strategy is **collectively stable** if it cannot be invaded by any other strategy.

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Note that in reality, an invader should be able to survive if it does **just as well** against the resident strategy as that strategy does against itself. This is known as **drift**.

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We say that a strategy is **collectively stable** if it cannot be invaded by any other strategy.

Collectively stable strategies can maintain themselves as a population since they can ward off all invaders.

A collectively stable strategy

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Proposition 6.2 *The **ALWAYS D** strategy is collectively stable for all w .*

The proof of this statement is an exercise.

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It will therefore have to **depend on the probability w** whether TITFOR TAT is safe from invasions.

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- on each subsequent move: defection by both sides.

The pay-off for ALWAYS D against TITFOR TAT:

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The pay-off for TITFOR TAT against ALWAYS D:

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The pay-off for TITFOR TAT against TITFOR TAT:

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TITFOR TAT can fight of the invasion iff

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TITFOR TAT can fight of the invasion iff

$$\frac{R}{1-w} \geq T + \frac{w}{1-w}P.$$

This is true if and only if $R \geq T - wT + wP$, which is equivalent to

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Proposition 6.3 *The strategy TITFOR TAT is collectively stable provided that the parameter w is greater than or equal to the maximum of $(T - R)/(T - P)$ and $(T - R)/(R - S)$.*

So at least a population of TITFOR TAT strategies can be stable! The only requirement of this is that the probability that two individuals 'meet again' is sufficiently high. Niceness therefore doesn't have to be an impediment!

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- The **strategy** may **defect $k \geq 0$ times** thereafter, and then **cooperate**.

Proof

Any **strategy** that successfully invades a population of **TITFORTAT** has to **defect** at some point, say on move n . After that

- The **strategy** may **defect forever**. But in that case we may discard the first $(n - 1)$ rounds in which it gets the same pay-off as **TITFORTAT** and treat it as the **ALWAYS D** strategy which we have shown cannot invade provided that $w \geq (T - R)/(T - P)$.
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- The **strategy** may **defect forever**. ✓
- The **strategy** may **defect** $k \geq 0$ **times** thereafter, and then **cooperate**.

Given the definition of **TITFOR TAT** that means that from round n to round $n + k + 1$, the strategy will accumulate a pay-off of

$$w^n(T + wP + w^2P + \dots + w^kP + w^{k+1}S),$$

and thereafter it is in the same situation as before (that is, **TITFOR TAT** will cooperate on the next move, and the cycle repeats).

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and thereafter it is in the same situation as before. Now **TITFORTAT**'s pay-off when playing against itself over these $n + k + 1$ rounds is

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We want to see when **TITFOR TAT** gets the higher pay-off, that is when

$$\begin{aligned} T + wP + w^2P + \dots + w^k P + w^{k+1}S \\ \leq R + wR + w^2R + \dots + w^k R + w^{k+1}R. \end{aligned}$$

Proof

Any **strategy** that successfully invades a population of **TITFOR TAT** has to **defect** at some point, say on move n . After that

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which is true by the condition on w .

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Proof. We do not provide a proof on this course. □

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There is some **flexibility** in the concept of being collectively stable. A strategy typically has a **number of points** at which it can react to a **defection** by the other side. This theorem tells us, however, that it **must react when it falls too far behind**.

Hitting back

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We can see here that Axelrod's notion of **being retaliatory** is required for strategies to be successful.

Invasions of ALWAYS D?

Our starting point for this was the question whether a nice strategy like **TITFOR TAT** could be successful in a climate that rewards selfishness. If we assume that at the start, we only have **ALWAYS D** strategies, which are **collectively stable**, how can nice strategies ever develop?

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We will now examine the situation that occurs when we assume that invaders come in **clusters**.



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Let us assume that there is a proportion of p **TIT FOR TAT** strategies in a population of **ALWAYS D**s. The pay-off for **TIT FOR TAT** is $30p + 9(1 - p)$, whereas for **ALWAYS D** it is $14p + 10(1 - p)$.

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The **former** is bigger than the **latter** if and only if $16p - (1 - p) > 0$ which is the case if and only if $p > 1/17 \approx .0588$.

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In other words, as soon as just **6%** of all members of the population are **TIT FOR TAT** it pays to be nice!

Invasion in territorial systems

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We will find out more about territorial systems later.

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In that case the above is equivalent to

$$(p - 1)P(A, A) + (1 - p)P(B, A) = (1 - p) \left(P(B, A) - P(A, A) \right) > 0,$$

which is equivalent to

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But that is the condition that B cannot invade A .

Territorial systems

Because territorial systems work on a different basis we have to **revisit** the notions we created for our 'global' systems.

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We say that a strategy can **territorially invade** a population consisting of another strategy if, eventually, **every** location in the territory holds an individual employing the new strategy. We say that a strategy is **territorially stable** if it cannot be territorially invaded.

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It turns out that quite a few of our conclusions for the evolutionary systems where each individual interacts with each other individual carry over to the territorial system.

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Proof. A strategy can only survive in the territorial system if there is an individual in the next generation which carries it on. But that will only be the case if it is more successful against the native strategy than that strategy is against itself, which is precisely the condition for it being able to invade a population consisting entirely of that native strategy. □

Example of a territorial system

Here is a system
where

$$w = .3,$$

$$T = 56,$$

$$R = 29,$$

$$P = 6 \text{ and}$$

$$S = 0.$$

Each strategy had four
neighbours.

The simulation started
with a single **ALWAYS D**
invader in the centre of
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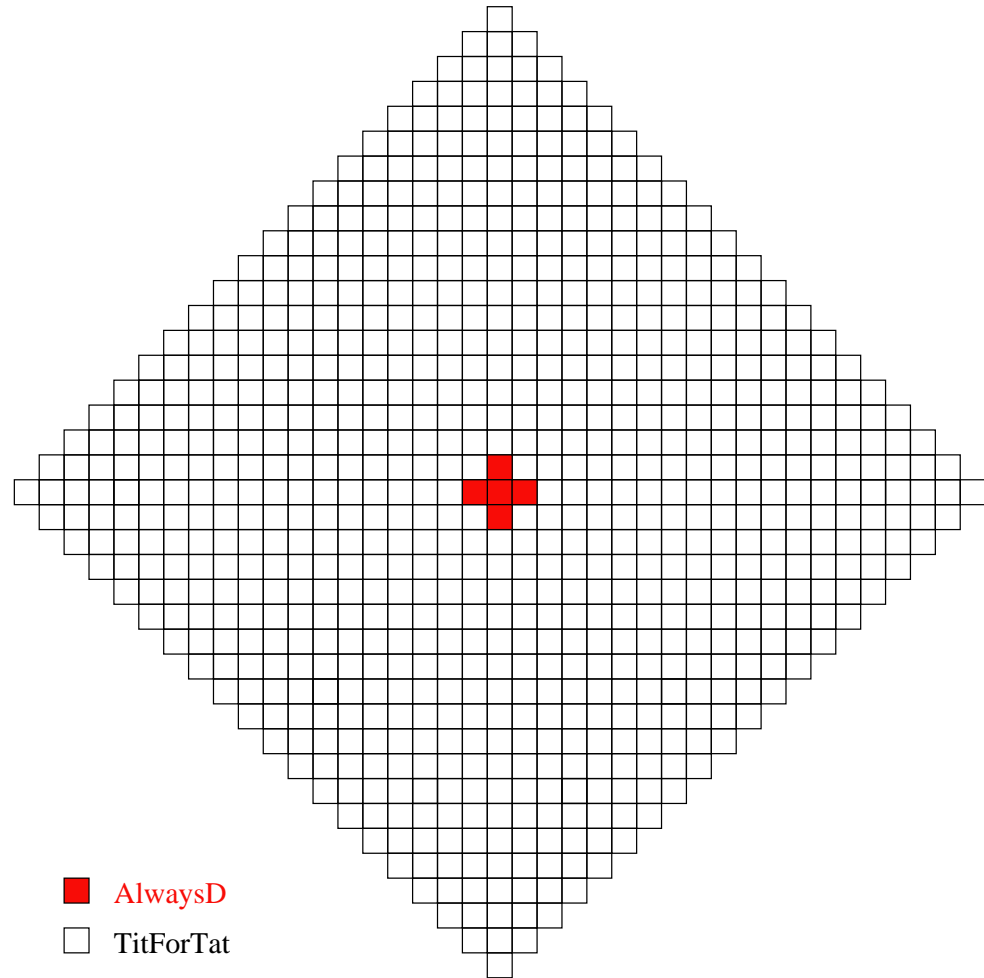
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■ AlwaysD

□ TitForTat

Generation 1

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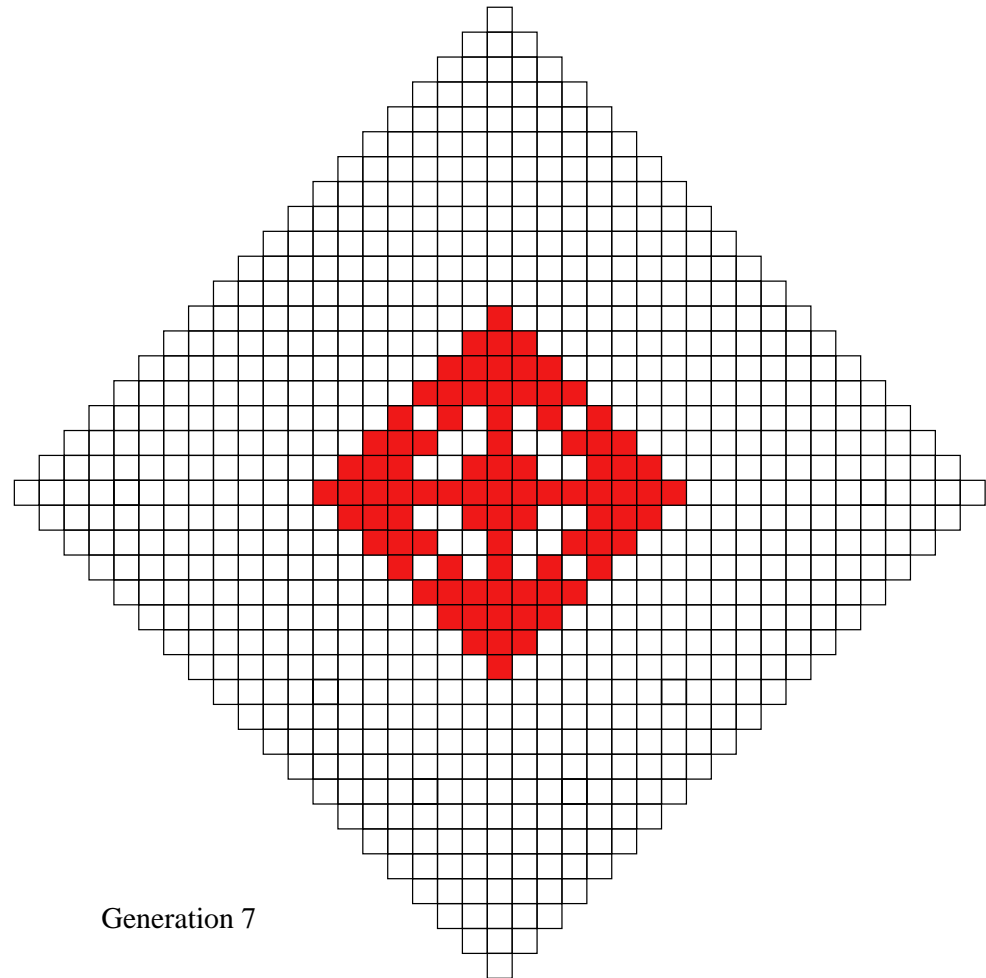
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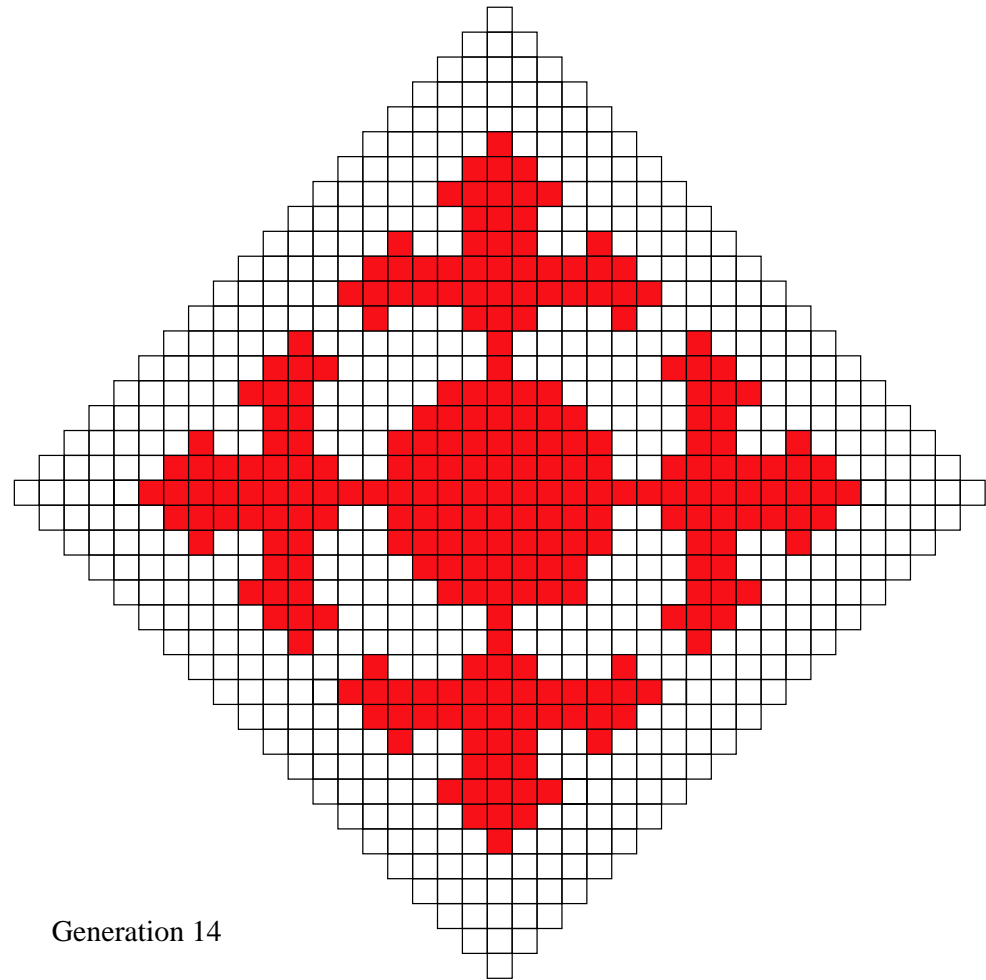
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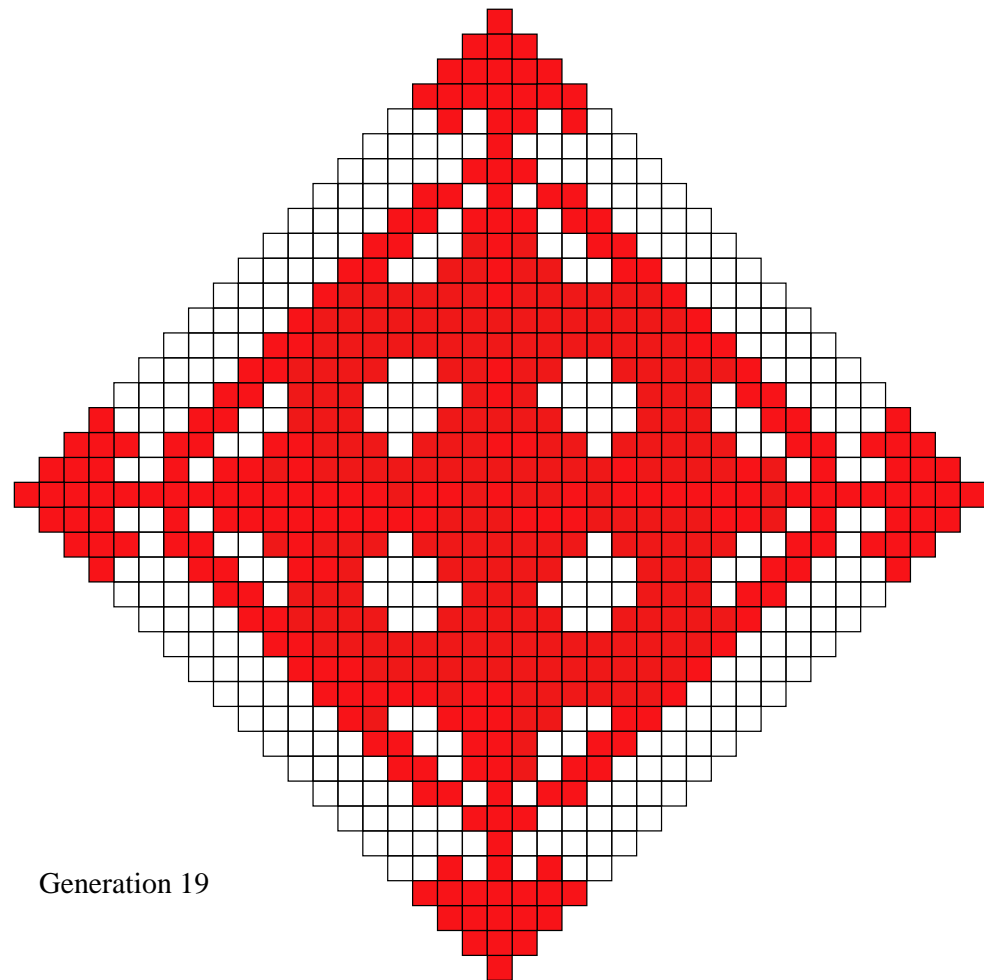
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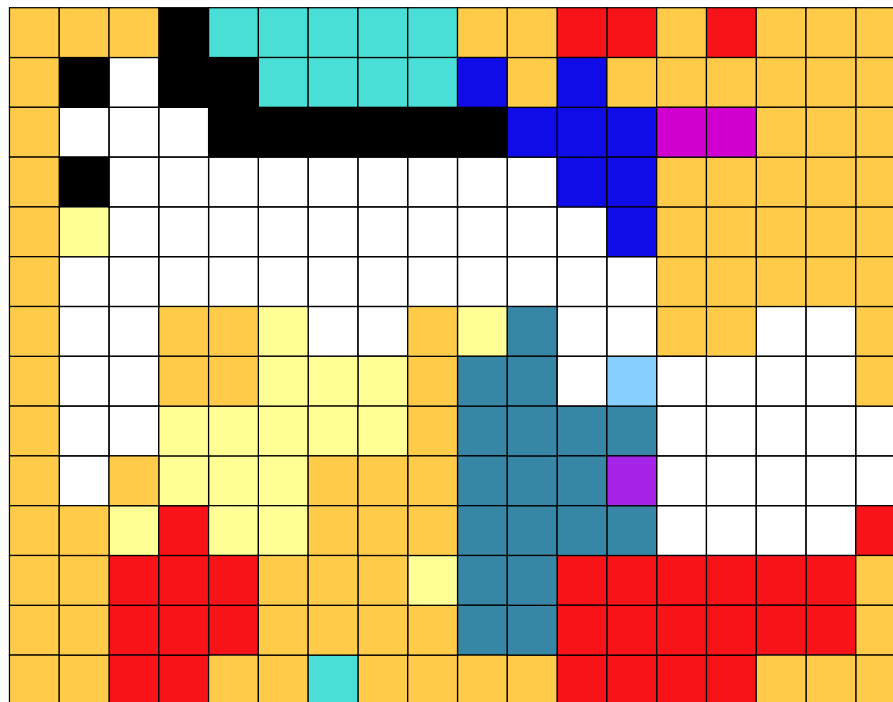
Generation 19

Territorial system with tournament str

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Territorial system with tournament strategies

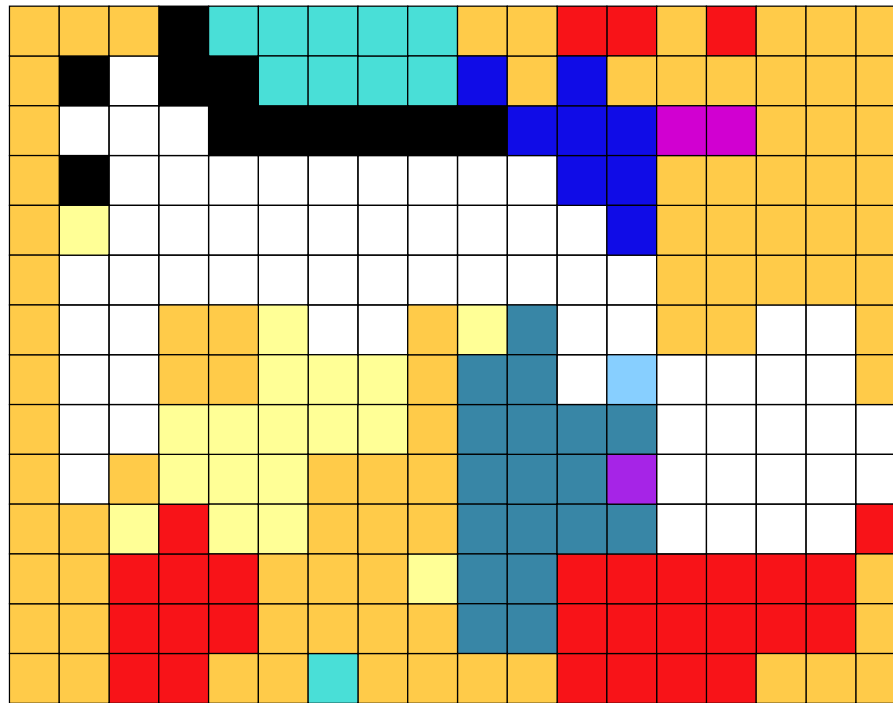
Axelrod decided to try a territorial tournament with the strategies submitted to the second tournament. He picked an (18×14) grid with four representatives each. Every strategy had four neighbours. This is a typical final state.



The numbers identifying the strategies give their rank in the (second) tournament.

- 31 (Nydegger)
- 44
- 7
- 6
- 41
- 1 (TitForTat)
- 52
- 9
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Territorial system with tournament str

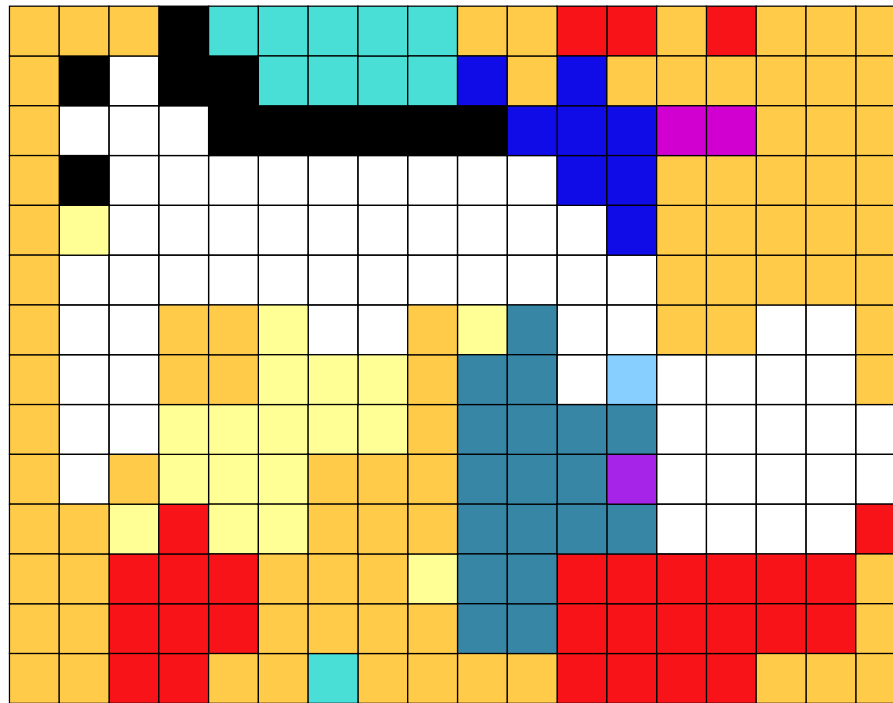


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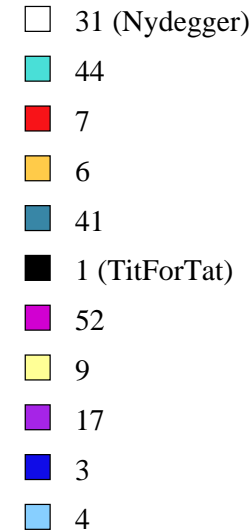
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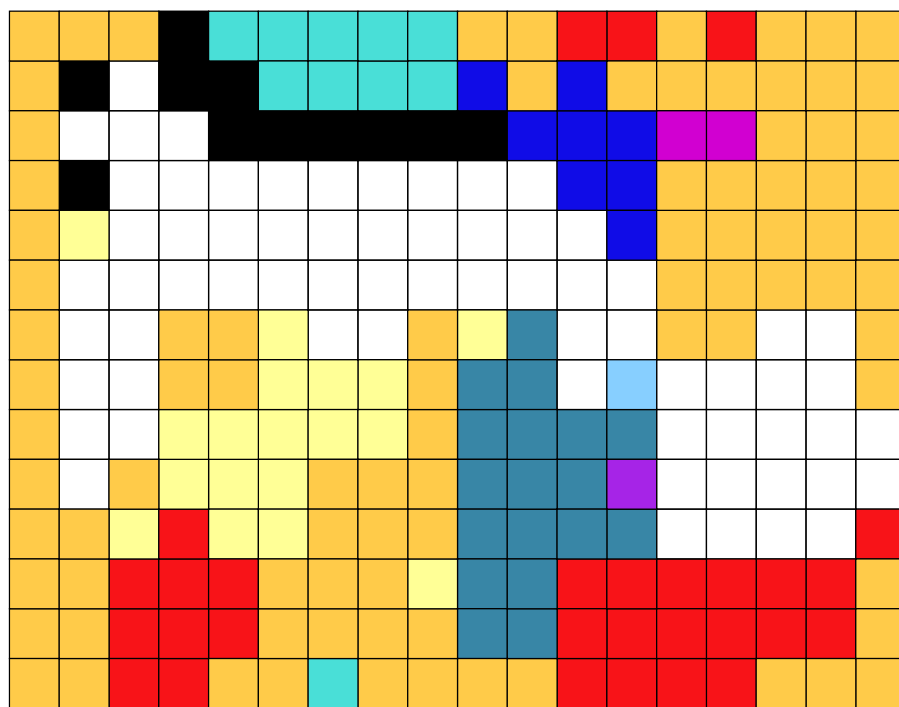


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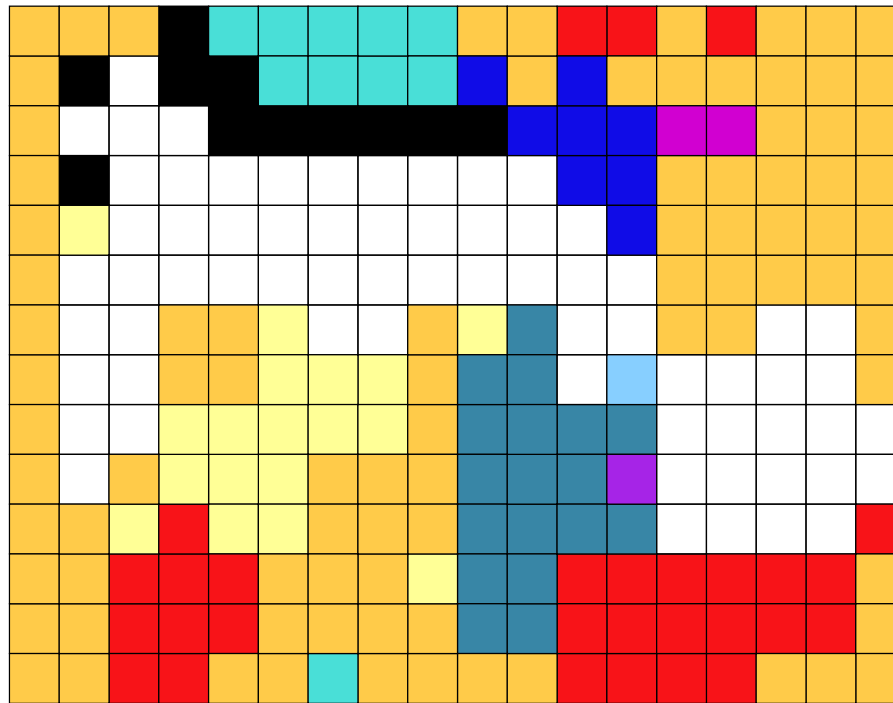


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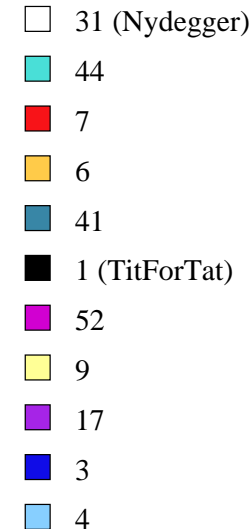


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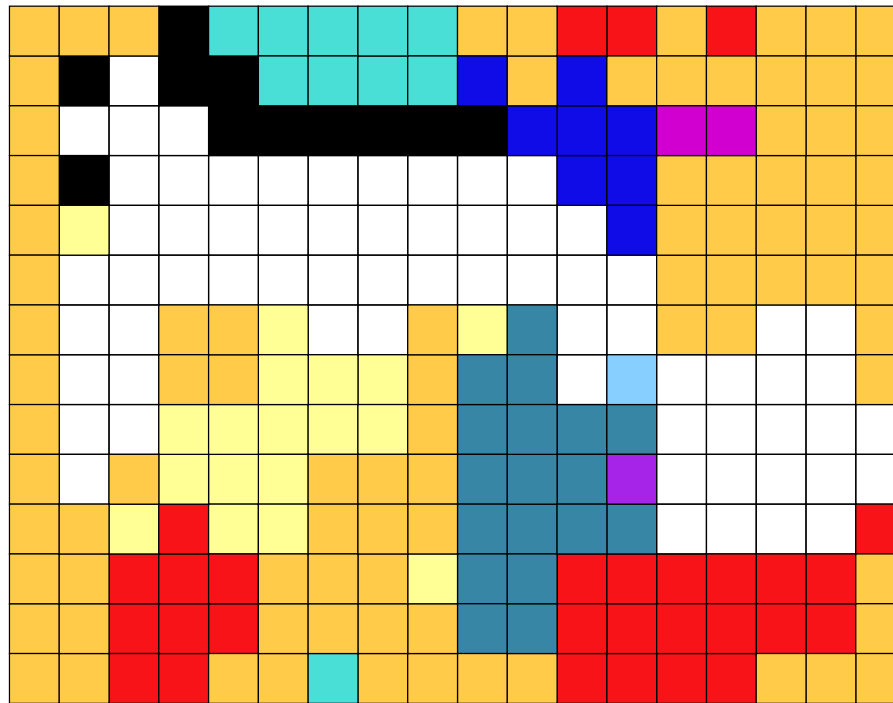


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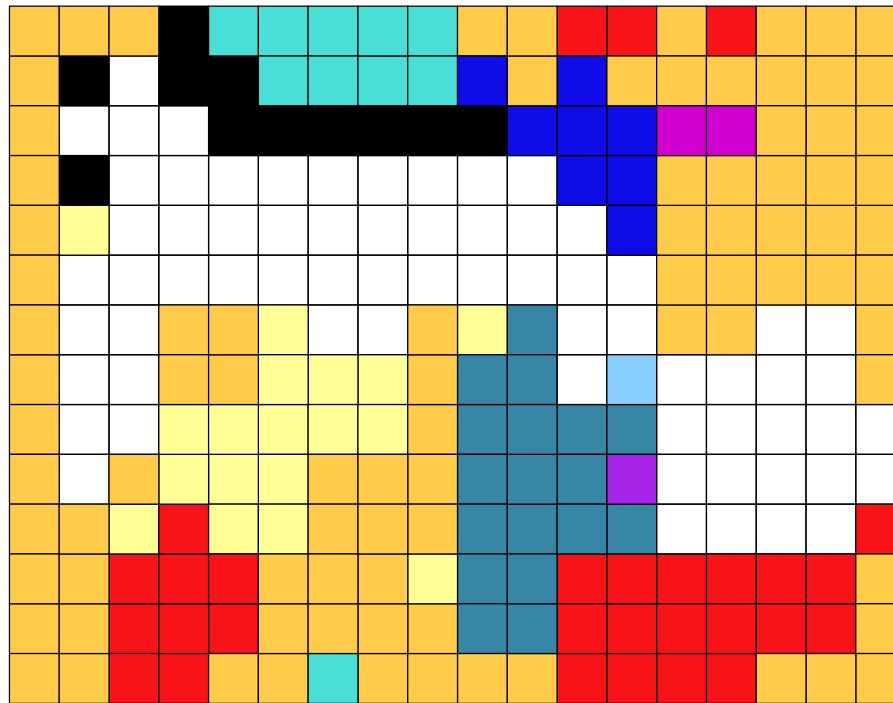


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TITFOR TAT did well in all the simulations, on average increasing its numbers from 4 to 17.

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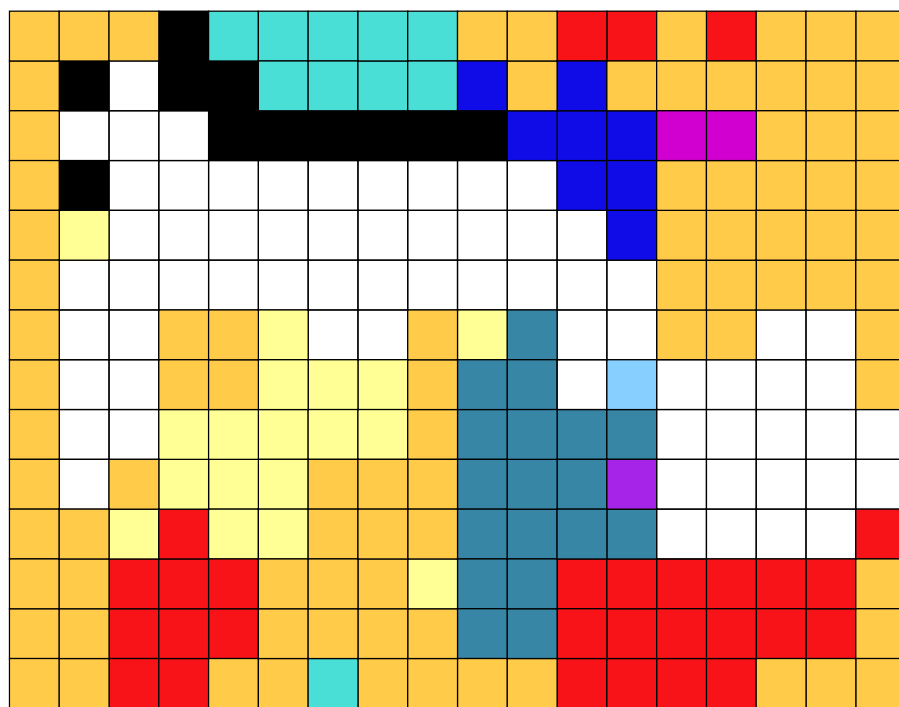


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NYDEGGER did extremely well, **despite** the fact that it only finished 31st in the tournament proper: On average, it had 40 copies.

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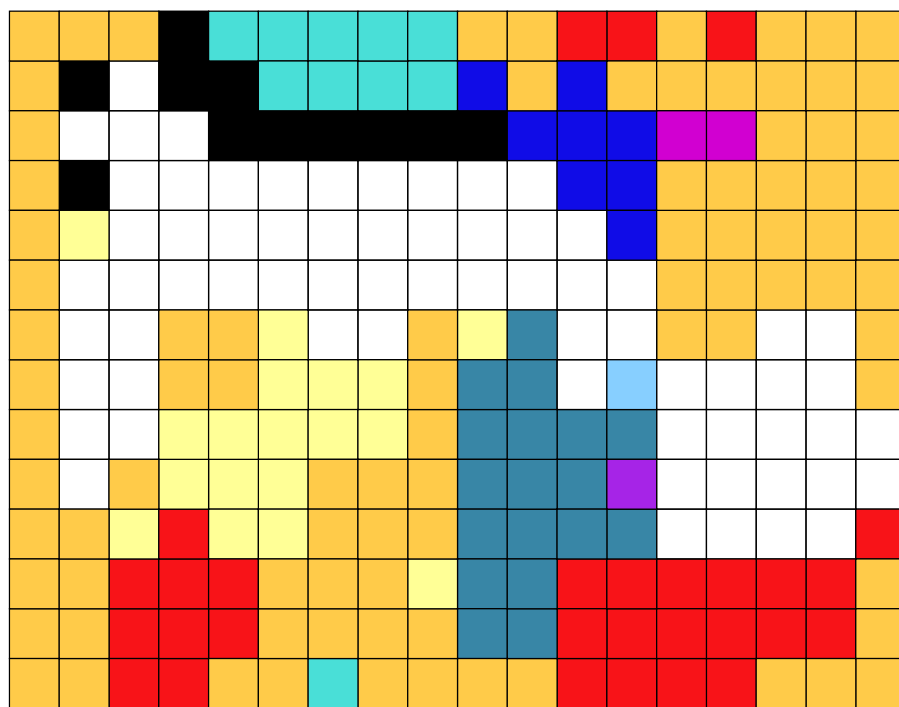
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Which strategies do well? Once all strategies are **nice**, no more change will occur. So those that do well are the ones that are best at **exploiting** the original population!

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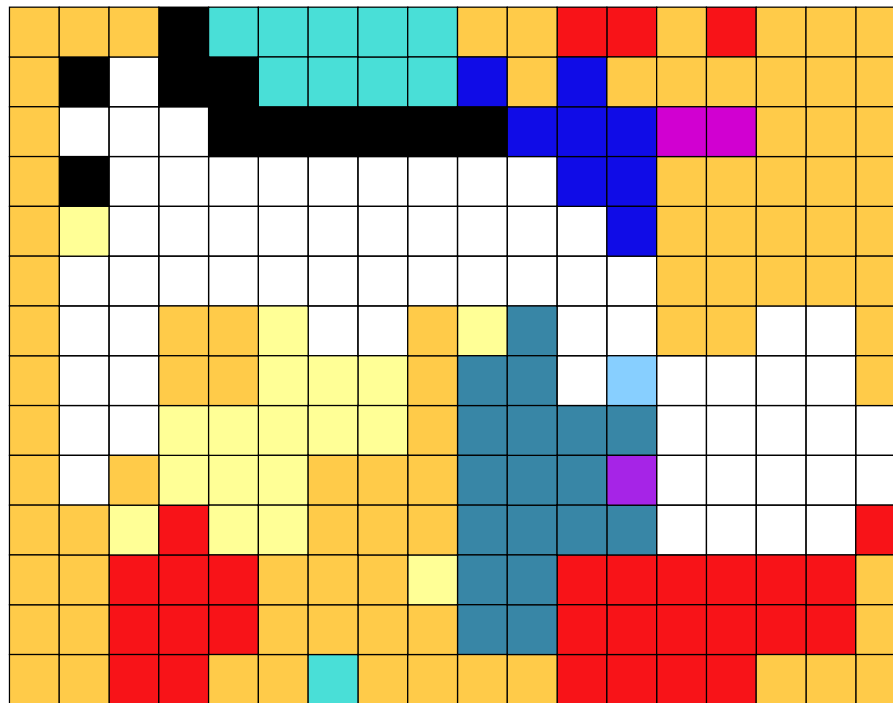
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NYDEGGER is a complicated strategy which makes a number of case-distinctions based on the previous three rounds. It is **nice**.

When the other side defects NYDEGGER sometimes gets it strategy to **'apologize'** by cooperating while NYDEGGER defects.

Territorial system with tournament str



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Whenever a copy of NYDEGGER has **one** 'apologetic' neighbour it will do considerably better than all of its other neighbours. Thus it converts a number of strategies to its own ideas.

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What do Axelrod's simulations tell us about cooperation, and its evolution?

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Question. Can you think of reasons why this might be?

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A lesson to take away: If individuals tend to imitate their successful neighbours, it really pays to be outstandingly successful under at least some circumstances (because that generates converts), even if one's average performance is below that of the average of the entire population.



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- strategies that can **learn**;
- strategies that allow **error** *via* making use of **probabilistic events**;
- strategies based on **finite state machines**.

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Note that 0-PAVLOV is just a form of the RANDOM strategy.

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1-PAVLOV will on the next move

- cooperate if both parties chose the same move in the current round;
- defect if both parties chose different moves in the current round.

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They typically **outperform** **TITFOR TAT** against versions of the **RANDOM** strategy, provided the probability for cooperation is at least $1/2$.

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This suggests that such a population should go through **cycles**, whereas in Axelrod's world, all populations become **stable** eventually. (This is due to his lack of making true chance experiments and not allowing mutations.)

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ALWAYS D is $R(0, 0, 0)$. **TIT FOR TAT** is $R(1, 1, 0)$. **ALWAYS C** is $R(1, 1, 1)$.

The generous version of **TIT FOR TAT**, known as **GENTIT FOR TAT** has $r = p = 1$, but rather than cooperating with probability 0 when the other side has defected last, it will cooperate with probability

$$\min\left\{1 - \frac{T - R}{R - S}, \frac{R - P}{T - P}\right\}.$$

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The idea is that in the real world, people (or animals) might **misread** somebody, **give out the wrong signals**, or otherwise have problems communicating.

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Once the exploiters have gone, **GEN TIT FOR TAT** takes over, and then evolution stops. Nowak and Sigmund concluded that while **TIT FOR TAT** is vital for cooperation to evolve, persistent patterns of cooperation in the real world are more likely to be due to **GEN TIT FOR TAT**.

More complicated strategies

Nowak and Sigmund then ran a second series of simulations, with a wider class of strategies. They decided to allow four random values to describe a strategy, p_1 , p_2 , p_3 , and p_4 so that it would be possible to take the strategy's own last move into account and not just the other player's.

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A strategy $S(p_1, p_2, p_3, p_4)$ will cooperate on the next move with

- probability p_1 if in the current round, both players cooperated;
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TITFOR TAT is $S(1, 0, 1, 0)$, and all reactive strategies in general are still represented: they are the ones with

$$p_1 = p_3 \quad \text{and} \quad p_2 = p_4.$$

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After 10 million generations, 90% of all simulations had reached a state of steady **mutual cooperation**.

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This strategy stays with its previous decision if it received the higher of the two pay-offs available (that is T (over R) and P (over S)). Otherwise it changes its mind in the next move.

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This strategy had been disparagingly called 'simpleton' by Rapoport and others: It cooperates with **ALWAYS D** on every other move, and against **TITFORTAT** it can be locked into a sequence where it receives repeating pay-offs of T, P, S .

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This strategy makes it **hard** for strategies like **ALWAYS D** to gain a foothold.

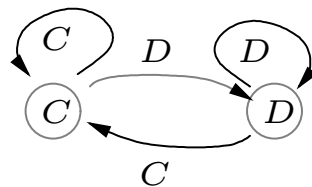
Finite state machines

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Here is **TITFOR TAT** as such a machine



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Finite state machines

Other researchers decided to explore simulations where all strategies are represented by **finite state machines**. Linster conducted a tournament with all strategies which can be expressed using such automata with two states. He conducted tournaments with mutations (which were very rare), sometimes with invasion forces where as much as 1% of the population could consist of invaders.

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His results suggest that there may be **stable mixes** of strategies.

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It is therefore always a good idea to test the simulation on simple situations where the theory **can predict** the outcome.

This provides a connection between **computer science**, and areas where the simulations come from, such as sociology, biology or the political sciences.



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Take two male **stags** fighting for a group of females. They start with a prolonged roaring match, followed by a parallel walk, followed by a direct contest of strength where the two interlock antlers and push against each other. At any time, one of them (usually the intruder) can turn away and break off the fight.

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Why does not one of the stags attack the other during the 'parallel walk' phase, where the flank of the opponent makes an enticing target? Such an aggressive stag might well have advantages if all other stags would retreat under such an assault.

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The **DOVE** strategy will pretend that it is willing to fight, but when the situation gets serious it will retreat.

The **HAWK**, on the other hand, will keep fighting until either it is too severely injured to continue or until the opponent retreats.

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If two **DOVES** meet each other they may pretend to fight for a long time, which costs L . So the winner gets $G - L$, and the loser $-L$. If again each side has a .5 chance of winning, the expected pay-off is $(G - 2L)/2$.

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This **symmetric** game can be described by the following matrix giving the pay-off for Player 1.

	HAWK	DOVE
HAWK	$(G - C)/2$	G
DOVE	0	$(G - 2L)/2$

The Hawk-Dove game continued

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Here is a specific example. Let $G = 50$, $C = 100$ and $L = 10$ (points). This is the resulting pay-off matrix.

	HAWK	DOVE
HAWK	-25	50
DOVE	0	15

Extreme populations

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In a population consisting entirely of **HAWKS** the average pay-off from a contest is -25 ! A single **DOVE** in such a population is at an advantage: While it loses all its fights, it at least gets an average pay-off of 0 as opposed to -25 . This would lead to an increase of the number of **DOVES**.

Stable populations?

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for a **DOVE** is

and that for a **HAWK**

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$$pG + (1-p) \frac{G - C}{2} = 50p - 25(1-p) = 75p - 25.$$

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In a **balanced population**, neither is at an advantage and these are equal. This happens precisely when

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Note that if everybody agreed to be a **DOVE**, there would be a much higher pay-off per contest for the individual, and thus for the entire population! But such a population wouldn't be stable.

Mixed strategy stable populations

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A mixed population is **not** the only way of reaching a stable population.

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We could interpret the game as one where the pure strategies are the **HAWK** and **DOVE** strategy, but where each contestant picks a **mixed** strategy for himself.

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This correspondence between stable populations and mixed strategy equilibrium points only works in the situation where the game is **symmetric and there are only two strategies** for each player.

We can use Proposition 2.4 to prove that the former gives rise to the latter, but we do not prove the converse.

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However, if there are more than two strategies around (and contests are on a one-on-one basis) then this changes.

Also among biologists the idea that **an invader would have to outperform** the resident strategy to succeed is not generally accepted. They do not consider the equilibrium point as a truly stable situation: Strategies which perform **as well** against the resident strategy as that strategy does against itself might still spread.

More strategies: RETALIATOR

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If $L = 0$ in this game, then the only stable population is a mixture of **HAWKS** and **DOVES**, without any **RETALIATORS**.

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So there is nothing in the mathematical theory which says that such a game has to have a stable form!

Change of RETALIATOR

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Question. What happens if we remove all **HAWKS** from this system?

In the absence of a **HAWK**, **RETALIATOR** and **DOVE** are indistinguishable.

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HAWK	$(G - C)/2$	G	$(G - C + E)/2$
DOVE	0	$G/2$	$(G - E)/2$
RETALIATOR	$(G - C - E)/2$	$(G + E)/2$	$G/2$

Stable populations for three strategies

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We will not work any of these out in detail; they are just meant to give an idea of the variety of situations that are possible with this setup.

Yet more biological games

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A certain kind of butterfly, for example, seeks out sunny spots in the hope of being joined by a female. If the spot is already occupied, the intruder gives up very quickly.

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In such fights there typically is a considerable advantage for the **home side**. This seems sensible, because the home side knows the territory in question, and there are good reasons for striving to be a resident. This makes fights a lot shorter, and thus **less costly**, and gives a 'natural' solution, namely a stable population.

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However there's a type of Mexican social spider which, when disturbed tries to find a new hiding place. If it darts into a crevice occupied by another spider the occupant will leave and seek a new place for itself.

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- Nice strategies have to **react to the first defection** of a playing partner to be collectively stable, and one can define a rule of when a collectively stable strategy will have to defect.

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- The core concept is **collective stability**, that is, being safe from invasions.
- Nice strategies have to **react to the first defection** of a playing partner to be collectively stable.
- Invasion becomes easier for nice strategies if they invade in clusters, but nice collectively stable strategies are safe from invasions. In many ways, **TITFOR TAT** is as successful a strategy as it can be in such a world.

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- We can model localized interaction in **territorial system**.
- Beyond Axelrod, people have introduced noise and simple learning.
- There are other games such as the **Hawk-Dove** game that are used in biology to explain the point of balance of stable populations.