# Pretending to be an SMT Solver with Vampire (and How We Do Instantiation)

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# Introducing Vampire

- Automatic Theorem Prover (ATP) for first-order logic
- Main paradigm: superposition calculus + saturation
- a.k.f.: indexing, incomplete strategies, strategy scheduling

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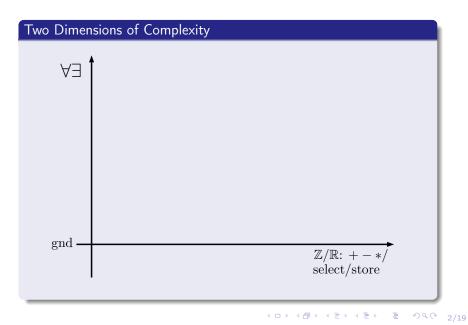
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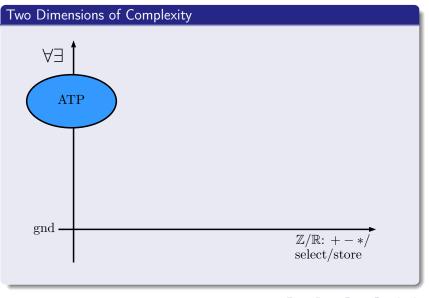
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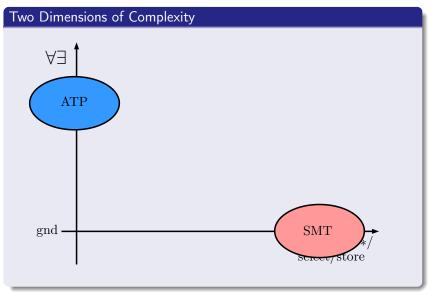
#### Reasoning with Theories

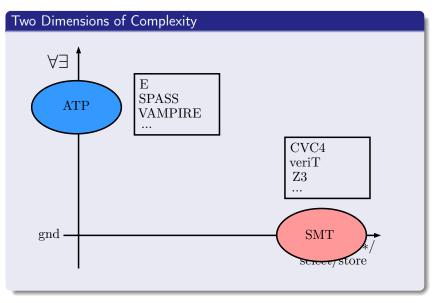
- since 2010: progressively adding support for theories
- since 2016: participating in SMT-COMP

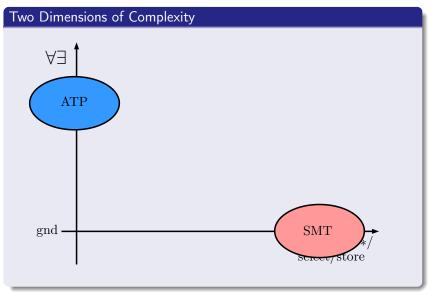


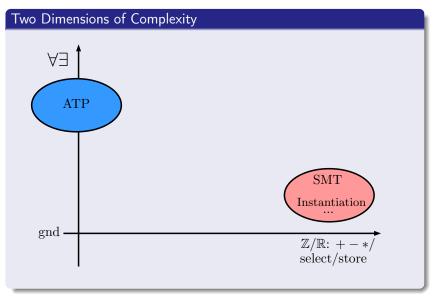


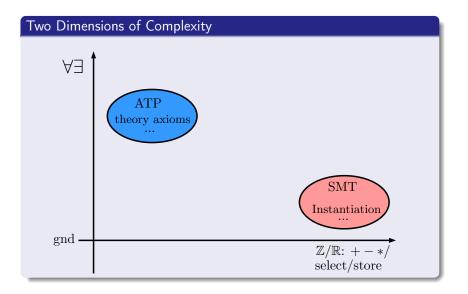
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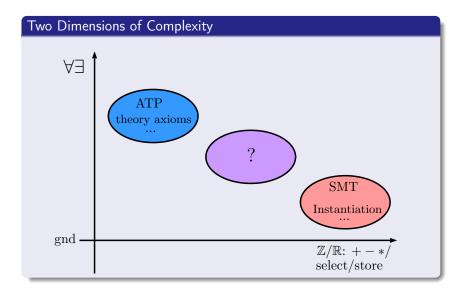












## 1 A Brief Introduction to Saturation-Based Proving

- 2 Theory Reasoning in Vampire
- Theory Instantiation and Unification with Abstraction

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Where We Currently Stand

# Theorem Proving Pipeline in One Slide

Standard form of the input:

$$F := (Axiom_1 \land \ldots \land Axiom_n) \rightarrow Conjecture$$

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2 Preprocess and transform  $\neg F$  to clause normal form (CNF)

$$S := \{C_1,\ldots,C_n\}$$

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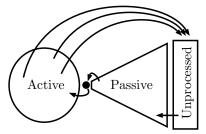
$$\mathcal{S} := \{C_1,\ldots,C_n\}$$

 $\bigcirc$  saturate  $\mathcal{S}$  with respect to the superposition calculus

aiming to derive the obvious contradiction  $\perp$ 

# Saturation = fixed-point computation

Given Clause Algorithm:



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- set of active clauses is stored in indexing structures
- passive works like a priority queue
- the process is "explosive" in nature

# Controlling the Growth of the Search Space

### Superposition rule

$$\frac{l \simeq r}{(L[r]_{\rho} \lor C_{1} \lor \underline{L}[\underline{s}]_{\rho} \lor C_{2})\theta} \quad \text{or} \quad \frac{l \simeq r}{(t[r]_{\rho} \otimes t' \lor C_{1} \lor C_{2})\theta} \quad \text{or}$$

where  $\theta = mgu(l, s)$  and  $r\theta \not\geq l\theta$  and, for the left rule L[s] is not an equality literal, and for the right rule  $\otimes$  stands either for  $\simeq$  or  $\not\simeq$  and  $t'\theta \not\geq t[s]\theta$ 

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#### Saturation up to Redundancy

- redundant clauses can be safely removed
- subsumption an example reduction:

remove *C* in the presence of *D* such that  $D\sigma \subset C$ 

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**Completeness considerations** 

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## 2 Theory Reasoning in Vampire

## 3 Theory Instantiation and Unification with Abstraction

### Where We Currently Stand

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# Basic Support for Theories

• Normalization of interpreted operations, e.g.

$$t_1 \ge t_2 \rightsquigarrow \neg(t_1 < t_2) \qquad a - b \rightsquigarrow a + (-b)$$

• Evaluation of ground interpreted terms, e.g.

$$f(1+2) \rightsquigarrow f(3)$$
  $f(x+0) \rightsquigarrow f(x)$   $1+2 < 4 \rightsquigarrow true$ 

• Balancing interpreted literals, e.g.

$$4 = 2 \times (x+1) \rightsquigarrow (4 \operatorname{div} 2) - 1 = x \rightsquigarrow x = 1$$

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Interpreted operations treated specially by ordering

# Adding Theory Axioms

$$\begin{array}{ll} x + (y + z) = (x + y) + z & x + 0 = x \\ x + y = y + x & -(x + y) = (-x + -y) \\ - - x = x & x + (-x) = 0 \\ x * 0 = 0 & x * (y * z) = (x * y) * z \\ x * 1 = x & x * y = y * x \\ (x * y) + (x * z) = x * (y + z) & \neg (x < y) \lor \neg (y < z) \lor \neg (x < z) \\ x < y \lor y < x \lor x = y & \neg (x < y) \lor \neg (y < x + 1) \\ \neg (x < y) \lor x + z < y + z & \neg (x < x) \\ x < y \lor y < x + 1 (for ints) & x = 0 \lor (y * x)/x = y (for reals) \end{array}$$

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- a handcrafted set
- subsets added based on the signature
- ongoing research on how to tame them [IWIL17]

# AVATAR modulo Theories

### The AVATAR architecture [Voronkov14]

- modern architecture of first-order theorem provers
- combines saturation with SAT-solving
- efficient realization of the *clause splitting rule*

$$\forall x, z, w. \underbrace{s(x) \lor \neg r(x, z)}_{\text{share } \times \text{ and } z} \lor \underbrace{\neg q(w)}_{\text{is division}}$$

• "propositional essence" of the problem delegated to SAT solver

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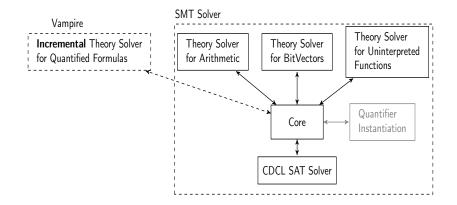
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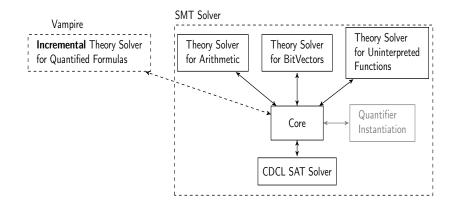
- use an SMT solver instead of the SAT solver
- sub-problems considered are ground-theory-consistent
- implemented in Vampire using Z3

# One Slightly Imprecise View of AVATAR



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# One Slightly Imprecise View of AVATAR



... and please remember: Vampire is the boss here!

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Where We Currently Stand

Consider the conjecture  $(\exists x)(x + x \simeq 2)$  negated and clausified to

$$x + x \not\simeq 2.$$

It takes Vampire 15 s to solve using theory axioms deriving lemmas such as

$$x+1 \simeq y+1 \lor y+1 \leq x \lor x+1 \leq y.$$

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### Recall the abstraction rule

$$L[t] \lor C \implies x \not\simeq t \lor L[x] \lor C,$$

where L is a theory literal, t a non-theory term, and x fresh.

# The Theory Instantiation

Instantiation which makes some theory literals immediately false

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As an inference rule

 $\frac{C}{(D[\mathbf{x}])\theta}$  TheoryInst

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where  $T[\mathbf{x}] \rightarrow D[\mathbf{x}]$  is a (partial) abstraction of C and  $\theta$  a substitution such that  $T[\mathbf{x}]\theta$  is valid in the underlying theory

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Implementation:

- Abstract relevant literals
- Collect relevant pure theory literals  $L_1, \ldots, L_n$
- Run an SMT solver on  $T[\mathbf{x}] = \neg L_1 \land \ldots \land \neg L_n$
- If the SMT solver returns a model, transform it into a substitution  $\theta$  and produce an instance

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# Unification with Abstraction

### Example

Consider two clauses

$$r(14y) \qquad \neg r(x^2 + 49) \lor p(x)$$

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Finally, Theory Instantiation could produce

p(7)

Explicit abstraction may be harmful:

• fully abstracted clauses are typically much longer

- abstraction destroys ground literals
- theory part requires special treatment

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### Instead of full abstraction ...

- incorporate the abstraction process into unification
- thus abstractions are "on demand" and <u>lazy</u>
- implemented by extending the substitution tree indexing

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Where We Currently Stand

# <u>SMT-COMP</u> 2017 results – $\forall \exists$ problems

| Logic    | Vampire | VeriT | CVC4  | Z3    |   |   |
|----------|---------|-------|-------|-------|---|---|
| ALIA     | 36      | 27    | 42    | 42    |   |   |
| AUFDTLIA | 624     | -     | 728   | -     |   |   |
| AUFLIA   | 3       | 2     | 3     | 2     |   |   |
| AUFLIRA  | 19778   | 19316 | 19766 | 19849 |   |   |
| AUFNIRA  | 1072    | -     | 1052  | 1031  |   |   |
| LIA      | 229     | 170   | 388   | 388   |   |   |
| LRA      | 1092    | -     | 2048  | 2208  |   |   |
| NIA      | 5       | -     | 9     | 13    |   |   |
| NRA      | 3803    | -     | 3776  | 3805  |   |   |
| UF       | 4317    | 3242  | 4125  | 2846  |   |   |
| UFDT     | 2283    | -     | 2503  | -     |   |   |
| UFDTLIA  | 75      | -     | 73    | -     |   |   |
| UFIDL    | 55      | 55    | 60    | 59    |   |   |
| UFLIA    | 7559    | 7518  | 7687  | 7221  |   |   |
| UFLRA    | 10      | 10    | 11    | 12    |   |   |
| UFNIA    | 2561    | -     | 2189  | 2197  |   |   |
|          |         |       | ۹ 🗆   |       | ■ ● ■ ● ● ● ● ● ● ● ● ● ● ● ● ● ● ● ● ● | Ý |

Thank you for your attention!

