

# Revisiting Global Subsumption

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# Introduction

In this talk we will

- Remind ourselves what Global Subsumption (GS) is
- Discuss five related questions

The following five questions will hopefully make more sense when we have explained GS

- ① What groundings are good groundings?
- ② What subclause are good subclauses?
- ③ Can GS play nicely with AVATAR?
- ④ Can GS play with theories?
- ⑤ Can we apply this lookahead idea to GS?

# Overview

# Global Subsumption: the Ground Case

- Assume a set of first order clauses  $S$
- Let  $S_{gr}$  be a set of ground clauses implied by  $S$   
i.e. instances of clauses in  $S$
- The ground clause  $D \vee D'$  can be replaced by  $D$  in  $S$  if  $S_{gr} \models D$
- This is sound as  $D$  follows from  $S$  and subsumes  $D \vee D'$
- If  $D$  is empty then  $S_{gr}$  is unsatisfiable and so is  $S$

# Global Subsumption: the Ground Case, an Example

- Consider

$$S = \left\{ \begin{array}{l} p(x) \vee q(a) \\ \neg p(x) \vee q(c) \\ f(a) = a \\ f(f(a)) \neq a \end{array} \right\} \quad S_{gr} = \left\{ \begin{array}{l} p(\perp) \vee q(a) \\ \neg p(\perp) \vee q(c) \\ f(a) = a \\ f(f(a)) \neq a \end{array} \right\}$$

- $(D \vee D') = q(a) \vee q(b) \vee q(c)$
- $S_{gr} \models q(a) \vee q(c)$
- $q(a) \vee q(b) \vee q(c)$  can be replaced by  $q(a) \vee q(c)$

# Global Subsumption: the Ground Case, an Example

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- $(D \vee D') = 2 \vee 6 \vee 3$
- $S_{gr} \models 2 \vee 3$
- $q(a) \vee q(b) \vee q(c)$  can be replaced by  $q(a) \vee q(c)$

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- $(D \vee D') = 2 \vee 6 \vee 3$
- $S_{gr} \models 2 \vee 3$
- $q(a) \vee q(b) \vee q(c)$  can be replaced by  $q(a) \vee q(c)$

# Global Subsumption: the Non-Ground Case

- We can lift this to give the non-ground global subsumption rule:

$$\frac{C \vee C'}{C}$$

where  $S_{gr} \models C\gamma$  for non-empty  $C'$  and injective substitution  $\gamma$  from variables in  $C$  to fresh constants

- For every generated clause  $C$  we
  - 1 Let  $\gamma = [x_1 \mapsto c_1, \dots, x_n \mapsto c_n]$  for  $x_i$  in  $C$  and fresh  $c_i$
  - 2 Add  $C\gamma$  to  $S_{gr}$
  - 3 Search for a minimal  $C' \subset C$  such that  $S_{gr} \models C'$
- Why an injective substitution?
  - ▶  $S_{gr} \models C$  is the same as  $S_{gr}, \neg C$  being inconsistent
  - ▶  $\neg C$  is  $\neg(\forall \mathbf{x} C[\mathbf{x}])$  is  $\exists \mathbf{x} \neg C[\mathbf{x}]$  so  $\gamma$  looks like the result of Skolemization



# Example

- Take the following case:
  - ▶  $C = p(x, y) \vee r(x)$
  - ▶  $S = \{p(x, y) \vee r(x), p(x, x)\}$
  
- $C$  cannot be reduced. Injectivity is important
  - ▶ If we do things wrong we can get  $S_{gr} = \{p(a, b) \vee r(a), p(a, a)\}$
  - ▶ We check  $\{p(a, a) \vee r(a), p(a, a), \neg p(a, a)\}$
  - ▶ We have  $S_{gr} \models p(a, a)$  but  $p(x, y)$  does not follow from  $S$
  
- If we add  $p(x, y)$  to  $S$  then  $C$  can be reduced
  - ▶ The correct grounding of  $S$  is  $S_{gr} = \{p(a, b) \vee r(a), p(a, a), p(a, b)\}$
  - ▶ We check  $\{p(a, b) \vee r(a), p(a, a), p(a, b), \neg p(a, b)\}$
  - ▶  $C$  can be replaced by  $p(x, y)$

# Note on Cheap SAT Solvers.... and Experiments!

- We make a note that GS is all about doing some very cheap stuff for big improvements
- This will influence our decisions generally
- And for this reason we only run SAT solver in unit propagation mode i.e. no guessing
- But maybe that assumption is wrong..
- Experiment

|                  | Total | Unique |
|------------------|-------|--------|
| Propagation Only | 8935  | 61     |
| Full             | 8920  | 46     |
| Baseline         | ?     | ?      |

# Overview

# What do we want?

- Consider

$$\left\{ \begin{array}{l} C_1 = p(x) \vee \neg q(y) \vee r(y) \\ \neg p(x) \end{array} \right\}$$

# What do we want?

- Consider

$$\left\{ \begin{array}{l} C_1 = p(a) \vee \neg q(b) \vee r(b) \\ \neg p(a) \end{array} \right\} gr$$

- $\gamma = [x \mapsto a, y \mapsto b]$  for  $C_1$

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- Consider

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- $\gamma = [x \mapsto a, y \mapsto b]$  for  $C_1$

# What do we want?

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$$\left\{ \begin{array}{l} C_1 = p(a) \vee \neg q(b) \vee r(b) \\ \neg p(a) \end{array} \right\}_{gr} \models \neg q(a) \vee r(a)$$

- $\gamma = [x \mapsto a, y \mapsto b]$  for  $C_1$

# What do we want?

- Consider

$$\left\{ \begin{array}{l} C_1 = p(b) \vee \neg q(a) \vee r(a) \\ \neg p(b) \end{array} \right\}_{gr} \models \neg q(a) \vee r(a)$$

- $\gamma = [x \mapsto b, y \mapsto a]$  for  $C_1$



# What do we want?

- Consider

$$\left\{ \begin{array}{l} C_1 = p(a) \vee \neg q(b) \vee r(b) \\ \neg p(a) \end{array} \right\} \text{gr} \models \neg q(b) \vee r(b)$$

- $\gamma = [x \mapsto a, y \mapsto b]$  for  $C_1$

# What do we want?

- Consider

$$\left\{ \begin{array}{l} C_1 = p(a) \vee \neg q(b) \vee r(b) \\ \quad \neg p(a) \\ p(b) \vee \neg q(a) \vee r(a) \\ \quad \neg p(b) \end{array} \right\} gr \models \neg q(a) \vee r(a)$$

- $\gamma = [x \mapsto a, y \mapsto b]$  for  $C_1$

# What do we do?

- A single substitution
- Order literals
  - ▶ Prefer fewer variables
  - ▶ Prefer lighter literals (complexity)
  - ▶ Order predicate symbols
  - ▶ Prefer negative
  - ▶ Break ties

# Ideas

- Implemented

- ▶ Reverse the ordering (backward) to see what happens
- ▶  $n$  substitutions where there are  $n$  clauses where we put each literal first

- Next ideas

- ▶ Ground units in more than one way (i.e.  $p(a)$ ,  $p(b)$ ,  $p(c)$ )
- ▶ Single constant substitution (i.e.  $\{x_1, \dots, x_n \mapsto a\}$ )
- ▶ Lookahead (see last question)

# Experiment

|            | Total       | Unique A  | Unique T |
|------------|-------------|-----------|----------|
| AVATAR on  |             |           |          |
| Standard   | 8873        | 36        | 23       |
| Backward   | <b>8882</b> | <b>54</b> | 38       |
| First      | 8845        | 31        | 25       |
| AVATAR off |             |           |          |
| Standard   | 8110        | 26        | 5        |
| Backward   | 8099        | 24        | 7        |
| First      | 8029        | 20        | 6        |

- Interesting relation with AVATAR
- Kind of demonstrates the point about difficulty with experiments

# Overview

## Finding the subclause

- Given  $D \vee D'$  we need to decide which bit is  $D$  and which bit is  $D'$
- Clearly trying all combinations will get boring (expensive)
- Initial idea is to go linearly i.e. first 1,2,3...
- It worked very well like this until we did something better...

# Using Solving Under Assumptions

- Concept:
  - ▶ Assume some SAT variables  $v_1, \dots, v_n$  have a certain value
  - ▶ Run SAT solver and it finds unsat
  - ▶ Ask it for a minimal set of  $x_i$  that were used in unsat
- In this context...
- Let  $D \vee D'$  be  $I_1 \vee \dots \vee I_n$  such that the grounding is  $v_1 \vee \dots \vee v_n$
- Add  $v_1 \vee \dots \vee v_n$  as usual
- Assume  $\neg v_1, \dots, \neg v_n$
- $v_i$  is a first guess at  $D'$



# Going Further

- We can then minimise the set of assumptions
- Basically, step through the literals and see if they can be removed
- Three options
  - ▶ Don't do it
  - ▶ In order
  - ▶ Randomized order (default)

# Experiment

|            | Total | Unique |
|------------|-------|--------|
| off        | 8959  | 16     |
| on         | 8965  | 21     |
| randomized | 8981  | 38     |

- So the default is best... that's good

# Overview

# AVATAR Clauses

- In AVATAR with have A-Clauses i.e. clauses have assertions A that capture splitting context
- Reductions (like GS) need to be careful of assertions

# Two Approaches

- Add assertions as additional SAT variables to every grounded clause
- Current Branch
  - ▶ Assume the current branch
- Full Model
  - ▶ Assume the full encoding of the model
- What we haven't tried
  - ▶ Letting GS and AVATAR share a SAT solver
  - ▶ Using GS to reduce the assertions only

# Experiment

|                    | Total | Unique |
|--------------------|-------|--------|
| ssnc=known         |       |        |
| off                | 9030  | 131    |
| current            | 6149  | 6      |
| full               | 3250  |        |
| ssnc=all           |       |        |
| off                | 8615  | 47     |
| current            | 933   |        |
| full               | 699   |        |
| ssnc=all_dependent |       |        |
| off                | 8678  | 16     |
| current            | 5915  |        |
| full               | 3416  |        |
| ssnc=none          |       |        |
| off                | 8832  | 43     |
| current            | 6853  |        |
| full               | 3586  |        |

# Overview

# Idea: replace SAT solver with SMT solver

- It's a simple idea... we did it with AVATAR
- But, the idea of GS is to be cheap
- Let's try it and find out!



# Technical Issues

- Ground terms get translated into SMT language
- Non-ground terms get named propositionally again
- Make sure that assertions (which represent theory constraints) are also included!
- I had hoped to present some experimental results, but I forgot the last point so it was unsound

# Overview

# The Lookahead Idea

- Earlier when we were talking about good groundings to add we were trying to guess what groundings were already in the SAT solver
- The next idea is to look and base our decision on what is actually there
- Is this E-matching? (without the **E**quality bit)

# Conclusions

- Global Subsumption is useful
- We can play with lots of bits
- There's more playing to do