

# Query Processing with Description Logic Ontologies Over Object-Wrapped Databases

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## Abstract

*This paper presents an approach to answering queries over an ontology modelled using a description logic. The ontology acts as a global schema, providing a declarative description of the concepts of the domain, the instances of which are stored in (potentially many) object-wrapped sources. Queries are expressed using terms from the rich vocabulary of the ontology, and are translated into an equivalent calculus expression, which references only the objects available in the source databases. The query is then optimized on the basis of information from the ontology and the source databases. Distinctive features of the approach include: the use of the expressive  $\mathcal{ALCQI}$  description logic, which supports both ontology definition and query expression; the adoption of a global-as-view approach to relating the ontology to the sources; and the use of the ontology to direct semantic optimization of queries phrased over specific sources. The approach is being developed in, and is illustrated using examples from, bioinformatics.*

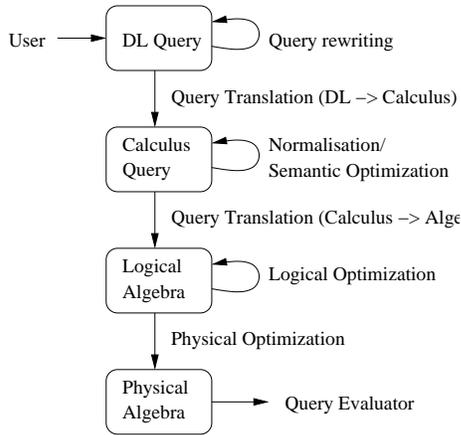
## 1 Introduction

Bioinformatics involves the storage and analysis of experimental and observational data from biology. Bioinformatics researchers have developed a wide range of biological databases and tools; for example, the January “Databases Issue” of *Nucleic Acids Research* in 2001 contained 96 papers describing bioinformatics databases. For the most part, bioinformatics databases are developed and maintained in isolation from each other, and thus manifest classical syntactic and semantic heterogeneities. As a result, there has been considerable attention devoted to the development of proposals that ease the task of exploring or accessing heterogeneous bioinformatics resources (e.g. [10, 7, 8]). However, few such proposals have provided truly declarative query interfaces or high levels of transparency.

The work described in this paper follows on from the

TAMBIS project [13], in which queries written over the GRAIL description logic (DL) were mapped into execution plans in CPL/Kleisli [8]. Limitations of the online TAMBIS query processor (<http://img.cs.man.ac.uk/tambis/>) include: (i) the ontology is represented using a relatively inexpressive DL, in which certain features of the biological domain are difficult to express; (ii) the mapping between concepts in the ontology and collections in the sources is quite restrictive – for example, TAMBIS did not allow multiple sources for the same kind of data (e.g. both SwissProt and PIR as protein sources); and (iii) although queries are optimized, as described in [17], there is no semantic query optimization making use of axioms from the ontology. All of these limitations are addressed in the proposal described in this paper. Furthermore, the adoption of an object-oriented wrapper layer makes the proposal compatible with mainstream middleware proposals such as that standardised by the OMG, which in turn is associated with an important standardisation activity in bioinformatics (<http://www.omg.org/homepages/lsr/>).

In a wider technical context, the proposal presented in this paper is part of a collection of results on knowledge based query processing in distributed information systems. Such proposals can be classified as *global as view* (e.g., SIMS [1], OBSERVER [16]), where terms in the global schema are defined by views over the source schemas, or *local as view* (e.g. Information Manifold [15], DWQ [2], Pictel [12]), where the content of sources is defined in terms of views over the global schema [19]. This paper presents results in a global-as-view setting, but extends earlier proposals in several ways. Firstly, the language used to describe the global conceptual model and to express queries, namely  $\mathcal{ALCQI}$ , is considerably more powerful than in earlier global-as-view proposals. This allows domains to be described more precisely in the ontology and allows more precise questions to be asked. Secondly, the reasoning services of the DL are used extensively during query processing to support semantic query optimization based on axioms within the ontology. This allows relationships between source and global model constructs to be described in a declarative manner, which can then be exploited by the op-



**Figure 1. Query processor architecture.**

optimizer to detect redundant subqueries. Thirdly, the sources are wrapped using an object model, and not represented as relations, as in most other proposals. This is an important practical consideration, as many existing resources are more naturally wrapped using an object model than as tables.

The remainder of the paper is structured as follows. Section 2 provides an overview of the approach, introducing the models and languages used at different points during query processing. Section 3 describes the *ALCQT* description logic and illustrates its use for conceptual modelling and query expression. Section 4 introduces the object model and the calculus over that model that together represent the API of the object-wrapped sources. Section 5 describes the translation of *ALCQT* queries from the DL into the calculus of the wrapper layer, and Section 6 describes how (semantic) optimization can be applied over the calculus representation of the queries. Section 7 concludes the paper.

## 2 Overview

This section gives an overview of the components that participate in the query processing framework described in more detail later in the paper, which are illustrated in Figure 1.

The input to the process is a description logic (DL) query over an ontology. The ontology consists of a set of integrity constraints in the form of logical axioms. Efficient inference engines exist [14] for use with DL ontologies. In particular, we are interested in the consistency and containment reasoning tasks, which enable certain semantic query optimizations to be made. The ontology can also be used to guide the query formulation process, in a way similar to that used in the TAMBIS system [13].

The *query evaluator* executes queries over a collection of object wrapped sources. Sources descriptions consist of a set of class declarations described using the ODMG’s object definition language ODL—that is, an ODL *schema*—plus information needed for physical query optimization, such as

access paths (existence of indices, for example) and cost information. Extent names in the wrapper layer are associated with certain terms (which are referred to as *ground* terms) in the ontology by an *Ontology-to-Object-Model mapping*.

Queries are formulated over the ontology in a query language which is itself a DL. The system translates these high-level user queries into queries over the definitions at the wrapper layer. The translation process can be broken down into several phases.

The *query rewriting* phase comprises a simple expansion algorithm to rewrite the query as an expression containing only ground terms; this is based on a global-as-view approach. Any ground term which appears in the rewritten query must be mapped to source constructs in the Ontology-to-Object-Model (OOM) mapping.

The *query translation* phase translates the rewritten query into an expression in Fegaras’ Monoid Comprehension Calculus [11]. The calculus provides a state-of-the-art semantics for OODBs together with well-founded tools for optimization and evaluation of queries. We have enriched the calculus with a *match()* operator to perform *object fusion*. The *match()* operator supports the reconstruction of a unique object by gathering sparse information coming from one or more sources. The translation process works in a compositional fashion, using the OOM mapping to translate DL terms, and handling logical connectives using a set of rules given in Section 5.

The *semantic optimization* phase takes the (possibly deeply nested) calculus expression generated by the query translation algorithm and performs simplifying transformations on it. The ontology is used at this stage to improve the calculus expression by identifying redundant generators (potential iterations) over source extents.

The *calculus-to-algebra translation* phase. From this point on, the translation system is an adaptation of Fegaras’ OQL optimizer [11]. The calculus expression is translated into an expression in a logical query algebra based on the nested-relational algebra. The translation rules are quite general and do not depend on source-specific information.

The *logical and physical optimization* phases are essentially those presented in [11]. Firstly, a calculus expression is translated to a corresponding expression in a logical algebra. This logical algebra is then subject to heuristic optimization by a logical optimizer, and then the logical algebra operators are replaced by operators in a physical algebra during physical optimization. For lack of space, the logical and physical optimizers are not described further in this paper.

## 3 The Conceptual Model and Query Language

At the top level of the system, a unified and user-centred view of the source data is abstracted in a global conceptual schema: the ontology. Queries are formulated with respect to this i.e., the user may use any term from the ontology in

the query, without knowing where the data actually is, how it is structured, and how it should be merged and reconciled to fit the global schema. In this section the formalisms for representing the ontology and the top level queries are introduced.

### 3.1 The $\mathcal{ALCQI}$ Description Logic

The conceptual schema consists of an ontology expressed in the DL  $\mathcal{ALCQI}$  [5]. The basic types of a DL are *concepts* and *roles*. A concept expression is a description gathering the common properties among a collection of individuals; from a logical point of view it is a unary predicate ranging over the domain of individuals. Inter-relationships between these individuals are represented by means of role expressions (which are interpreted as binary relations over the domain of individuals). We present here a only a brief summary of the syntax and semantics of  $\mathcal{ALCQI}$ .

$\mathcal{ALCQI}$  has a rich combination of constructors, including full boolean operators, qualified number restrictions, inverse roles and inclusion assertions of a general form. Its syntax rules in Figure 2 define valid concept and role expressions.  $C$  and  $R$  denote concept and role expressions,  $A$  is an atomic concept name and  $P$  is an atomic role name.

The semantics of  $\mathcal{ALCQI}$  can be given in terms of an interpretation  $\mathcal{I} = (\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}})$  consisting of a non-empty set  $\Delta^{\mathcal{I}}$  of individuals (the *domain* of  $\mathcal{I}$ ) and a function  $\cdot^{\mathcal{I}}$  (the *interpretation function* of  $\mathcal{I}$ ) satisfying suitable axioms. Every concept is interpreted as a subset of  $\Delta^{\mathcal{I}}$  and every role as a subset of  $\Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}}$ . An ontology (or knowledge base) is a finite set  $\Sigma$  of axioms of the form  $C \sqsubseteq D$ , involving concept expressions  $C, D$ ; we write  $C \doteq D$  as a shortcut for both  $C \sqsubseteq D$  and  $D \sqsubseteq C$ . An interpretation  $\mathcal{I}$  satisfies  $C \sqsubseteq D$  if and only if the interpretation of  $C$  is included in the interpretation of  $D$ , i.e.,  $C^{\mathcal{I}} \subseteq D^{\mathcal{I}}$ ; it is said that  $C$  is subsumed (or contained) by  $D$ . Details can be found in [4]

### 3.2 Example Biological Ontology

Ontologies have recently established a significant profile in bioinformatics, for example, for describing the biological functions of genes identified in genome sequencing projects [18]. Figure 3 contains a small example ontology that will be used throughout the paper to illustrate query processing. The sources for **protein** information are simplifications of SwissProt (see <http://www.ebi.ac.uk/swissprot/>) and PIR (see <http://www-nbrf.georgetown.edu/pirwww/>). Most of the axioms are self-explanatory. For example, the first states that any enzyme is a protein which catalyses some reaction. A protein as described in the SwissProt database is a protein with exactly one accession number and possibly some species (axioms 4 and 7). The role **cited-in** represents the relationship between a protein—as described in the PIR database—and journal articles in which it is cited (axiom 8). The concept **top-journal** represents (names of) those journals

$$\begin{aligned}
\text{enzyme} &\sqsubseteq \text{protein} \sqcap \exists \text{catalyses.reaction} & (1) \\
\text{enzyme} &\doteq \exists \text{enz-protein} \cdot \top & (2) \\
\exists \text{catalyses} \cdot \top &\sqsubseteq \text{protein} & (3) \\
\text{sp-protein} &\sqsubseteq \text{protein} & (4) \\
\text{pir-protein} &\sqsubseteq \text{protein} & (5) \\
\text{protein} &\sqsubseteq (\exists \text{has-sequence} \cdot \top) \sqcap (\exists^{\leq 1} \text{has-sequence} \cdot \top) & (6) \\
\text{sp-protein} &\sqsubseteq (\exists \text{sp-acc} \cdot \top) \sqcap (\exists^{\leq 1} \text{sp-acc} \cdot \top) & (7) \\
&\quad \sqcap (\forall \text{has-species} \cdot \text{species}) \\
\text{pir-protein} &\sqsubseteq (\exists \text{pir-acc} \cdot \top) \sqcap (\exists^{\leq 1} \text{pir-acc} \cdot \top) & (8) \\
&\quad \sqcap (\forall \text{cited-in} \cdot \text{reference}) \\
\text{species} &\sqsubseteq (\exists \text{common-name} \cdot \top) & (9) \\
&\quad \sqcap (\exists^{\leq 1} \text{common-name} \cdot \top) \\
&\quad \sqcap (\exists \text{latin-name} \cdot \top) \sqcap (\exists^{\leq 1} \text{latin-name} \cdot \top) \\
\text{mammal} &\sqsubseteq \text{species} & (10) \\
\text{reference} &\sqsubseteq (\exists \text{has-author} \cdot \top) & (11) \\
&\quad \sqcap (\exists \text{has-journal} \cdot \top) \sqcap (\exists^{\leq 1} \text{has-journal} \cdot \top) \\
&\quad \sqcap (\exists \text{has-year} \cdot \top) \sqcap (\exists^{\leq 1} \text{has-year} \cdot \top) \\
\text{enz-entry} &\sqsubseteq (\exists \text{enz-protein} \cdot \top) & (12) \\
&\quad \sqcap (\forall \text{enz-protein} \cdot \text{sp-protein}) \\
&\quad \sqcap (\exists \text{enz-reaction} \cdot \top) \\
\text{journal} &\doteq \exists \text{has-journal} \cdot \top & (13) \\
\text{top-journal} &\sqsubseteq \text{journal} & (14)
\end{aligned}$$

Figure 3. The example ontology.

which are considered important, and corresponds to an extent **top-journals** consisting of a set of strings (axioms 13 and 14).

Given an ontology, such as that in Figure 3, a concept description can be taken as a query to retrieve all instances of the concept. For example, the following query asks for all proteins found in mammals:

$$\text{protein} \sqcap \exists \text{has-species.mammal} \quad (15)$$

The following query, which is revisited later, asks for all proteins referred to in important journals.

$$\text{protein} \sqcap \exists \text{cited-in} \cdot (\exists \text{has-journal} \cdot \text{top-journal}) \quad (16)$$

### 3.3 Query Rewriting

The query rewriting task can be phrased in general terms as follows. Given a query  $Q$ , an ontology, and a set of view definitions that characterise the actual source data, reformulate the query into an expression, the rewriting, that refers only to the views, and provides the answer to  $Q$ . In our case, the view definitions correspond to the classes and relationships of the object wrapped sources.

In this paper we will consider only the simplistic case of the logical (source) vocabulary being a subset of the

$$\begin{aligned}
& C, D \rightarrow A \mid \top \mid \perp \mid \neg C \mid C \sqcap D \mid C \sqcup D \mid \forall R.C \mid \exists R.C \mid \exists^{\geq n} R.C \mid \exists^{\leq n} R.C \\
& R \rightarrow P \mid R^-
\end{aligned}$$

**Figure 2.** *ALCQI* concept and role expressions

conceptual DL vocabulary. We call these concepts and roles *ground*. Moreover, we restrict our attention to top level *class queries*, which are themselves DL concept expressions. Concept expressions which are to be treated as queries are rewritten into equivalent concept expressions involving only the ground concept names.

The simplest rewriting system we can use is a stratified system with a straightforward expansion algorithm. In a stratified system, a distinguished subset of the DL axioms, called the *expansion axioms*, are used for query rewriting. The expansion axioms are all of the form  $A \doteq C$ , where  $A$  is an atomic concept (a concept name) and  $C$  is a concept expression. The set of definitions in the expansion axioms is required to be acyclic, or *stratified*. Furthermore, each concept name which can appear in a query and is not represented by a source database (i.e., is not ground) must be defined in an expansion axiom. Also, the names used on the right-hand sides of definitions must all be queryable. The rewriting process is then a simple matter of expanding definitions of non-ground concepts until only ground ones are left. This expansion process is guaranteed to terminate and to produce a grounded query equivalent to the original one.

As an example, suppose the ontology contains the definition  $\text{mammalian-enz} \doteq \text{enzyme} \sqcap \forall \text{has-species.mammal}$ , for enzymes which only occur in mammals. Many such concept definitions could be added to the ontology for the convenience of users. We have not done so here to conserve space. Then the query  $\text{mammalian-enz}$  would expand into

$$(\exists \text{enz-protein}^- . \top) \sqcap \forall \text{has-species.mammal} \quad (17)$$

Once a query has been rewritten into an equivalent query containing only ground terms, it is important to check that the query is *safe* [9]. Essentially, a query (or concept) is considered safe if answering that query does not involve looking up information not referred to in the query. This is crucial to restrict the scope of a query. Our translation scheme in Section 5 only produces translations for safe queries. For example, the query  $\forall R.C$  is unsafe because answering it involves, among other things, finding all individuals with no  $R$  fillers, and this information is not available from extents for  $R$  and  $C$ .

Let  $Q$  be a concept expression which has been expanded (so it contains only ground concept names) and rewritten into *negation normal form*<sup>1</sup>. Then  $Q$  is safe if it has the form

<sup>1</sup>By pushing negations inwards in the usual way, one can rewrite any *ALCQI* concept expression into an equivalent expression in *negation normal form* or NNF, where negations only appear in front of concept names.

$\perp$ ,  $A$  (where  $A$  is a ground concept),  $\exists R.C$  or  $\exists^{\geq n} R.C$  (provided  $n \geq 1$ ). It is unsafe if it has the form  $\top$ ,  $\neg A$ ,  $\forall R.C$  or  $\exists^{\leq n} R.C$ . A conjunction is safe if and only if at least one of its conjuncts is safe. A disjunction is safe if and only if all of its disjuncts are safe. Note that, under this definition, a concept expression is safe if and only if its negation is unsafe.

Note that a more sophisticated rewriting system without the above restrictions, and allowing for a more expressive query language such as non-recursive datalog, could be used without affecting the rest of the proposal [19, 3].

## 4 The Object Model and Calculus Queries

The query translator takes a DL query and translates it into a calculus expression over an object model. The object model is described in Section 4.1, and the calculus in Section 4.3.

### 4.1 The Object Model

The source databases are presented to the rest of the system by software wrappers in such a way that they can be seen as forming an object database, conforming to the ODMG data model [6]. From an implementation point of view, this is a practical choice because of its compatibility with CORBA and the fact the model is associated with well understood query processing techniques [11]. The structure of the objects returned by the wrappers is given by a schema in the ODMG's Object Definition Language (ODL).

Each ground concept in the ontology is viewed as a named persistent set of database objects. This set may be an extent over an ODL class or a set of values of some simple type like `String`. For the sake of brevity, we will refer to all such named collections as *source extents*. So that we can use the DL reasoner to assist in query optimization (as described in Section 6), we require that each source name be represented by a name in the knowledge base, and we record any information about containment between sources in the ontology.

Figure 4 shows wrapper class definitions for the domain represented by the ontology in Figure 3. For example, the interface `Protein` represents protein data from the sources `SwissProt` and `PIR`, which are represented by the classes `SP_Protein` and `PIR_Protein`.

The attribute `SPAccessionNumber` in the source class `SP_Protein` corresponds to the accession number of a `SwissProt` entry. It is a unique identifier for the entry.

```

interface Protein {
  attribute String sequence;
}

class SP_Protein (extent sp_proteins)
  extends Protein {
    attribute String SPAccessionNumber;
    attribute Set<Species> species;
    attribute String sequence;
  }

class PIR_Protein (extent pir_proteins)
  extends Protein {
    attribute String PirAccessionNumber;
    attribute Set<Reference> references;
    attribute String sequence;
  }

class Species (extent species) {
  attribute String common_name;
  attribute String latin_name;
}

class Reference (extent references) {
  attribute Set<String> authors;
  attribute String title;
  attribute String journal;
  attribute String year;
}

class EnzEntry (extent enz_entries) {
  attribute String enz_id;
  attribute Set<SP_Protein> enz_proteins;
  attribute Set<String> reactions;
  attribute Set<String> cofactors;
}

class Enz_catalyses_class (extent enz_catalyses) {
  attribute SP_Protein base;
  attribute String filler;
}

Set<Species> mammals
Set<String> top_journals

```

**Figure 4. Declarations of source classes.**

The `species` attribute contains the set of species in which the protein can be found. Finally, `sequence` is a string representation of the protein’s amino acid sequence.

Like SwissProt, PIR also identifies its protein entries with an accession number (see `PIR_Protein`). Note that PIR accession numbers are not the same as SwissProt accession numbers, so the attributes must be given different names and correspond to different roles at the DL level. The `references` attribute is a set of descriptions of references to the protein in the scientific literature. As with `SP_Entry`, the string `sequence` represents the amino acid sequence.

## 4.2 The Ontology-to-Object-Model Mapping

The OOM Mapping describes how ground DL concepts and roles relate to object model classes and relationships. For the example application, Table 1 gives the mapping between DL concepts and source extents, and Table 2 shows the mapping of DL roles to class attributes.

DL roles are divided into *enumerated roles*, which are

Concept	Source extents
protein	sp_proteins pir_proteins
sp-protein	sp_proteins
pir-protein	pir_proteins
enz-entry	enz_entries
species	species
reference	references
top-journal	top_journals
mammal	mammals

**Table 1. Concept to source mapping for biological example**

Role	Attribute	Cardinality
sp-acc	SPAccessionNumber	Single
pir-acc	PirAccessionNumber	Single
has-species	species	Multiple
has-sequence	sequence	Single
cited-in	references	Multiple
common-name	common_name	Single
latin-name	latin_name	Single
has-author	authors	Multiple
has-title	title	Single
has-journal	journal	Single
has-year	year	Single
enz-id	enz_id	Single
enz-protein	enz_proteins	Multiple
enz-reaction	reactions	Multiple
cofactor	cofactors	Multiple

**Table 2. Attribute role mappings for biological example**

represented by the OOM mapping directly as sets of pairs, and *attribute roles*, which are represented as attributes of the base objects. An enumerated role  $R$  is represented by a set of source extents. Each of these is an extent  $e$  over a class of the form

```

class C (extent e) {
  attribute  $T_1$  base;
  attribute  $T_2$  filler;
}

```

The attribute names *base* and *filler* are used to refer to the first and second components of the binary relation represented by  $R$  (see Section 3.1). We say that  $e$  has type  $T_1 \times T_2$ .

The model contains a single enumerated role, `catalyses`, which represents the relationship between an enzyme and the reaction it catalyses. This role is mapped to the extent `enz_catalyses` of Figure 4, which is implemented as part of the wrapper on the Enzyme database.

An attribute role  $R$  is represented by an attribute name  $a_R$ . The attribute may be defined in several classes, and

may have a different value type in each. For example, the fillers for a role like `has-name` may be simple strings in most classes, but structured objects (for example, botanical names of plants) in others. An attribute role can be either *single-valued* or *multiple-valued*. We require that a single-valued attribute role be represented by an attribute whose value type is a simple class name in each class which supports it, and that a multiple-valued attribute role be represented by an attribute whose value type is  $\text{Set}(T)$  for some class name  $T$ .

### 4.3 The Monoid Comprehension Calculus

The target language for the first stage of query translation is the Monoid Comprehension Calculus of Fegaras [11]. The calculus provides a uniform notation for collection types such as lists, bags and sets, based on the observation that the operations of set and bag union and list concatenation are *monoid* operations (that is, they are associative and have an identity element). Monoids for collection types are known as *collection monoids*. Operations like conjunctions and disjunctions on booleans and integer addition over collections can also be expressed in terms of so-called *primitive monoids*. A monoid comprehension has the form

$$\otimes\{e \mid q_1, \dots, q_n\}. \quad (18)$$

The symbol  $\otimes$  is a monoid operator, and determines the type of the comprehension. The expression  $e$  is called the *head* of the comprehension. Each  $q_i$  is a *qualifier*, which can either be a *generator* of the form  $v \leftarrow e'$ , where  $v$  is a variable and  $e'$  is a collection-valued expression, or a *filter* of the form  $p$ , where  $p$  is a predicate (a boolean-valued expression). Each variable  $v$  is assigned a type  $T$ , and the corresponding collection monoid must have an element type which is a subtype of  $T$ . We will usually omit the variable type from our notation, except where it needs to be emphasised. If  $q_i$  is a filter, then the head expression  $e$  and the  $q_j$  for  $j > i$  can contain free occurrences of the variable  $v$ . The identity, or zero, element of the monoid whose operation is  $\otimes$  is denoted by  $\mathcal{Z}_\otimes$ .

The primitive monoids used in examples below are: (i) The logical-and monoid  $\wedge$ . This is a simple monoid whose underlying type is boolean. The monoid operation is boolean conjunction and  $\mathcal{Z}_\wedge = \mathbf{true}$ . (ii) The plus-monoid  $+$ . This is also a simple monoid whose underlying type is integer. The monoid operation is integer addition and  $\mathcal{Z}_+ = 0$ . The plus-monoid is used in examples in the form  $\{1 \mid \bar{s}\}$ , to compute cardinalities of sets. The result of this expression is the number of distinct assignments to the generator variables in  $\bar{s}$  which satisfy all the filters.

#### 4.3.1 The Match-Union Monoid

A single individual belonging to the extension of a DL concept or query may be represented by several database instances (with distinct OIDs), coming from different sources.

For example, protein instances may be represented in both the SwissProt and PIR sources. Thus, a query may have alternative answers if more than one choice of database instance is available for some of the relevant individuals. In order to support the reconstruction of a unique individual object from the sparse information coming from the same or different sources, we introduce a new boolean-valued operator  $match(x, y)$  which returns **true** if the database instances  $x$  and  $y$  represent the same individual.

This  $match(-, -)$  operator is really a collection of operators  $match_{S_1, S_2}(-, -)$ , one for each pair of source databases  $S_1, S_2$  (we can also divide it according to different object types returned by each source). We require that  $match()$  defines an equivalence relation—that is, it must be reflexive, symmetric and transitive. We also assume that distinct elements from the same source are intended to represent distinct individuals, so that if  $x$  and  $y$  come from the same source  $S$ ,  $match_{S, S}(x, y)$  reduces to  $x = y$ . The  $match()$  operator extends to tuples of objects in the obvious way. It can be interpreted as a simple equality test for domain values like integers and strings.

At the physical level, the implementation of  $match()$  for any given pair of sources may consist of a function which performs a comparison between certain key attributes of the objects concerned. Alternatively, if the two source classes do not have a common key, it might be necessary to use a binary table to associate the corresponding elements.

An answer to a query, then, is a set  $S$  of object references, such that

$$\text{for all } x, y \in S, match(x, y) = \mathbf{false} \text{ unless } x = y. \quad (19)$$

Such sets are referred to as *match-sets*—they are still sets (rather than bags) even if we regard  $match()$  as equality.

In order to capture query answers as match-sets, comprehensions are written in terms of a collection monoid whose merge operation  $\oplus$  (read as *match-union*) is like the set union operation but preserves the uniqueness condition (19). So, if  $S_1$  and  $S_2$  satisfy (19) then  $S_1 \oplus S_2$  may be any set  $W \subseteq S_1 \cup S_2$  of object references, such that for each  $x \in S_1 \cup S_2$  there is precisely one  $w \in W$  such that  $match(x, w) = \mathbf{true}$ . For those elements of  $S_1$  which match some element of  $S_2$ , we can choose which element to include in  $S_1 \oplus S_2$ . This choice can be made by the system on the basis of user preference or cost estimation or, if we have no preference, by taking the representative for  $S_1$  whenever possible.

## 5 Translating Queries to Monoid Comprehensions

The rules given in this section show how to translate a safe *ALCQI* concept expression  $C$  into an expression  $E$  in the monoid comprehension calculus. To save space, we only consider expressions whose subexpressions are all safe. The implemented system also deals with cases where

this is not so. The modifications to the translation rules for these cases are indicated below.

The rules constitute a compositional syntax-directed translation scheme. The expression  $E$  is a collection monoid comprehension using the monoid operation  $\oplus$  described in Section 4.3.1. If the element type of this comprehension (the type of its head expression) is  $T$  we will say that  $E$  is a translation of  $C$  having type  $T$ .

**The Empty Concept.** The unsatisfiable concept  $\perp$  is translated by the empty  $\oplus$ -monoid  $\mathcal{Z}_\oplus$ .

**Atomic Terms.** To translate a ground atomic concept (a concept name)  $A$  we consult the OOM mapping to find the set of database extent names which represent  $A$ . Each extent name has a type (a class name)  $T_i$  and refers to a set  $S_i$  of object references of type  $T_i$ . Let  $T$  be the most specific superclass of the  $T_i$ . Then  $\bigoplus_i S_i$  is a translation of  $A$  having type  $T$ .

For instance, in our example application the concept `protein` is mapped to the source extents `sp_proteins` and `pir_proteins`, and so it has the translation `sp_proteins  $\oplus$  pir_proteins` (of type `Protein`).

**Conjunctions.** If  $C$  and  $D$  are safe concepts with translations  $C'$  and  $D'$  of type  $T_{C'}$  and  $T_{D'}$ , then  $C \sqcap D$  can be translated to either of the following, with types  $T_{C'}$  and  $T_{D'}$  respectively:

$$\oplus\{c \mid c \leftarrow C', d \leftarrow D', \text{match}(c, d)\} \quad (20)$$

$$\oplus\{d \mid c \leftarrow C', d \leftarrow D', \text{match}(c, d)\} \quad (21)$$

If we don't want to commit to choosing all our answers from one of  $C'$  and  $D'$  and we have a choice function  $\text{choose}(x, y)$  which selects one of  $x$  and  $y$  according to some unspecified criteria, we can make a third translation with the type,  $T$  which is the most specific superclass of  $T_1$  and  $T_2$ :

$$\oplus\{\text{choose}(c, d) \mid c : T \leftarrow C', d : T \leftarrow D', \text{match}(c, d)\} \quad (22)$$

Note the use of type specifiers for the variables  $c$  and  $d$  to emphasise that we are assigning subclass references to superclass reference variables. That is, we just take the  $\oplus$  merge of  $C'$  and  $D'$  but interpret the references as having type  $T$ .

In the case where  $C$  is safe but  $D$  is unsafe, we must filter the instances of  $C$  for non-membership (up to  $\text{mactop}()$ ) of the safe concept  $\neg D$  rather than for membership of  $D$ .

**Disjunctions.** If  $C, D$  are safe concepts with translations  $C'$  and  $D'$  of type  $T_{C'}$  and  $T_{D'}$ , let  $T$  be the most specific superclass of  $T_{C'}$  and  $T_{D'}$ . Then

$$(\oplus\{c \mid c : T \leftarrow C'\}) \oplus (\oplus\{d \mid d : T \leftarrow D'\}) \quad (23)$$

is a translation of  $C \sqcup D$  of type  $T$ .

**Existentially Quantified and At-least Formulae.** Existentially quantified formulae and at-least formulae are closely related, since  $\exists R.C$  is equivalent to  $\exists^{\geq 1} R.C$ ; they are handled together here.

- If  $R$  is an *enumerated role*, let  $\{R'_i\}$  be the set of source tables for  $R$  and let the type of  $R'_i$  be  $T_{i1} \times T_{i2}$ . Let  $T_1$  be the most specific superclass of the  $T_{i1}$ , let  $T_2$  be the most specific superclass of the  $T_{i2}$ , and let

$$R' = \bigoplus_i (\oplus\{r \mid r : \langle \text{base} : T_1, \text{filler} : T_2 \rangle \leftarrow R'_i\}). \quad (24)$$

Suppose  $C$  is safe and has a translation  $C'$ . Table 3 gives translations for enumerated roles.

Inverses of enumerated roles (for example, in  $\exists R^- . C$ ) can be handled similarly, by exchanging the roles of `base` and `filler` (and of  $T_1$  and  $T_2$ ).

- If  $R$  is a *single-valued attribute role*, let  $\{D_i\}$  be the set of domains for  $R$ . Let  $T_{i1}$  be the type of  $D_i$  and let  $T_{i2}$  be the value type of the attribute  $a_R$  in  $D_i$ . Let  $T_1$  be the most specific superclass of the  $T_{i1}$  and let  $T_2$  be the most specific superclass of the  $T_{i2}$ . Let

$$D_R = \bigoplus_i \oplus\{d \mid d : T_1 \leftarrow D_i\} \quad (25)$$

Then  $D_R$  represents the (potential) domain of the relation  $R$ .

Suppose  $C$  is safe and has a translation  $C'$ . Table 4 gives translations for single-valued roles. The translation of  $\exists^{\geq n} R.C$  is equivalent to  $\exists R.C$  if  $n = 1$  and is empty if  $n > 1$ .

As an example of the translation of a single-valued attribute role, consider the translation of  `$\exists$ has-journal.top-journal`. According to the OOM mapping in Table 2, the role `has-journal` is a single-valued attribute role. It is mapped to the attribute `journal`, which is supported by the class extent `references`. The concept `top-journal` is mapped to the extent `top-journals`, as described in Table 1. Using the translation for  $\exists R.C$  in Table 4, with renaming of variables, the translation is

$$\oplus\{r_1 \mid r_1 \leftarrow \text{references}, t \leftarrow \text{top-journals}, \text{match}(r_1.\text{journal}, t)\} \quad (26)$$

- If  $R$  is a *multiple-valued attribute role*, let  $\{D_i\}$ ,  $T_{i1}$ ,  $T_{i2}$   $T_1$  and  $T_2$  be defined as for single-valued attributes above, except that the value type of  $a_R$  in  $T_{i1}$  is now `Set( $T_{i2}$ )`

Suppose  $C$  is safe and has a translation  $C'$ . Table 5 gives translations for multiple-valued roles.

Concept	Translation	Type
$\exists R.C$	$\oplus\{r.\text{base} \mid r \leftarrow R', c \leftarrow C', \text{match}(c, r.\text{filler})\}$	$T_1$
$\exists^{\geq n} R.C$	$\oplus\{r.\text{base} \mid r \leftarrow R', +\{1 \mid s \leftarrow R', c \leftarrow C', \text{match}(s.\text{base}, r.\text{base}) \wedge \text{match}(s.\text{filler}, c)\} \geq n\}$	$T_1$

**Table 3. Translation of enumerated roles.**

Concept	Translation	Type
$\exists R.C$	$\oplus\{d \mid d \leftarrow D_R, c \leftarrow C', \text{match}(d.a_R, c)\}$	$T_1$
$\exists R^-.C$	$\oplus\{d \mid d \leftarrow D_R, c \leftarrow C', \text{match}(d.a_R, c)\}$	$T_2$
$\exists^{\geq n} R^-.C$	$\oplus\{d.a_R \mid d \leftarrow D_R, +\{1 \mid c \leftarrow C', \text{match}(c, d)\} \geq n\}$	$T_2$

**Table 4. Translation of single-valued roles.**

An expression such as  $\exists R.C$  or  $\exists^{\leq n} R.C$  where  $C$  is unsafe is translated in a similar manner to the above, except that, instead of checking that objects related to a given instance of the domain of  $R$  are  $\text{match}()$ -equivalent to instances of  $C$  we must check that they are not equivalent to any instance of the safe concept  $\neg C$ .

## 5.1 Example Translation

As an example of the query translation process, consider query (16), which asks for all proteins referred to in important journals. To translate this query, we first translate the subquery:

$$\exists \text{has-journal.top-journal.} \quad (27)$$

This gives rise to the calculus expression (26) described above. Proceeding in this way we obtain the monoid comprehension:

$$\begin{aligned} &\oplus\{p_2 \mid p_2 \leftarrow \text{sp\_proteins} \oplus \text{pir\_proteins}, \\ &\quad p_3 \leftarrow \oplus\{p_1 \mid p_1 \leftarrow \text{pir\_proteins}, \\ &\quad\quad r_2 \leftarrow p_1.\text{references}, \\ &\quad\quad r_3 \leftarrow \oplus\{r_1 \mid r_1 \leftarrow \text{references}, \\ &\quad\quad\quad t \leftarrow \text{top-journals}, \\ &\quad\quad\quad \text{match}(r_1.\text{journal}, t)\}, \\ &\quad\quad \text{match}(r_2, r_3)\}, \\ &\quad \text{match}(p_2, p_3)\}, \end{aligned} \quad (28)$$

supposing that the system decides to use both sources for the concept `protein`. This rather unwieldy form can be considerably simplified by the methods of Section 6.

## 6 Optimization

For the most part, the optimization of the queries that result from the translation process described in Section 5 follows that of Fegaras' optimizer [11]. Following translation, a normalisation algorithm rewrites the comprehension

into a normal form which is more amenable to further optimization. We have extended Fegaras' normalisation algorithm so that certain semantic optimizations are made during the normalisation process, to remove unnecessary iterations or generators. The normalisation rules are given in Section 6.1. The optimization rules are given in Section 6.2.

### 6.1 Normalisation

The first stage of Fegaras' optimizer [11] is a normalisation process which does some unnesting of nested comprehensions. The process results in a canonical form which is (in our case) a  $\oplus$ -merge of comprehensions of the form

$$\oplus\{e \mid v_1 \leftarrow \text{path}_1, \dots, v_n \leftarrow \text{path}_n, \text{pred}\}, \quad (36)$$

where each  $\text{path}_i$  is a database extent name or an expression of the form  $v.a_1 \dots a_n$ , where  $v$  is a bound variable and the  $a_i$  are attribute names. Note that the head  $e$  and the predicate  $\text{pred}$  may still contain nested comprehensions, though these will also be in canonical form. The normalisation rules needed to convert the comprehension expressions produced by the algorithm in Section 5 are given in Figure 5. In the figure,  $\otimes$  and  $\oslash$  may be any of the monoid operations  $\oplus, \vee, \wedge$  or  $+$ ,  $*$  may be  $\vee, \wedge$  or  $+$ , and  $\odot$  may be  $\oplus, \wedge$  or  $\vee$ . The notation  $e[e'/v]$  denotes the result of substituting  $e'$  for the free occurrences of  $v$  in  $e$ . Further details on the normalisation of the monoid calculus can be obtained from [11].

### 6.2 Semantic Optimization

The translations given in Section 5 are applicable to any concept expression. However, in certain circumstances more efficient translations can be produced by exploiting knowledge about the types returned by translations of subexpressions and the containment relationships stored within the ontology.

For example, the concept  $C \sqcap \exists R.D$  (where  $R$  is a multiple-valued attribute role,  $C$  is translated as  $C'$  and  $D$  as  $D'$ ) is translated to a monoid comprehension which, after

Concept	Translation	Type
$\exists R.C$	$\oplus\{d \mid d \leftarrow D_R, f \leftarrow d.a_R, c \leftarrow C', \text{match}(f, c)\}$	$T_1$
$\exists R^-.C$	$\oplus\{f \mid d \leftarrow D_R, f \leftarrow d.a_R, c \leftarrow C', \text{match}(c, d)\}$	$T_2$
$\exists^{\geq n} R.C$	$\oplus\{d \mid d \leftarrow D_R, +\{1 \mid f \leftarrow d.a_R, c \leftarrow C', \text{match}(f, c)\} \geq n\}$	$T_1$
$\exists^{\geq n} R^-.C$	$\oplus\{f \mid d \leftarrow D_R, f \leftarrow d.a_R, +\{1 \mid e \leftarrow D_R, g \leftarrow e.a_R, c \leftarrow C', \text{match}(g, f) \wedge \text{match}(c, e)\} \geq n\}$	$T_2$

**Table 5. Translation of multiple-valued roles.**

$$\langle A_1 = e_1, \dots, A_n = e_n \rangle . A_i \longrightarrow e_i \quad (29)$$

$$\otimes\{e \mid \bar{q}, v \leftarrow \mathcal{Z}_\otimes, \bar{s}\} \longrightarrow \mathcal{Z}_\otimes \quad (30)$$

$$\odot\{e \mid \bar{q}, v \leftarrow e_1 \oplus e_2, \bar{s}\} \longrightarrow (\odot\{e \mid \bar{q}, v \leftarrow e_1, \bar{s}\}) \odot (\odot\{e \mid \bar{q}, v \leftarrow e_2, \bar{s}\}) \quad (31)$$

$$\begin{aligned} +\{e \mid \bar{q}, v \leftarrow e_1 \oplus e_2, \bar{s}\} &\longrightarrow (+\{e \mid \bar{q}, v \leftarrow e_1, \bar{s}\}) \\ &\quad + (+\{e \mid \bar{q}, v \leftarrow e_2, \wedge\{\neg \text{match}(v, w) \mid w \leftarrow e_1\}, \bar{s}\}) \end{aligned} \quad (32)$$

$$\otimes\{e \mid \bar{q}, \vee\{\text{pred} \mid \bar{r}\}, \bar{s}\} \longrightarrow \otimes\{e \mid \bar{q}, \bar{r}, \text{pred}, \bar{s}\} \quad (33)$$

$$\otimes\{e \mid \bar{q}, v \leftarrow \oplus\{e' \mid \bar{r}\}, \bar{s}\} \longrightarrow \otimes\{e[e'/v] \mid \bar{q}, \bar{r}, \bar{s}[e'/v]\} \quad (34)$$

$$*\{*\{e \mid \bar{r}\} \mid \bar{s}\} \longrightarrow *\{e \mid \bar{s}, \bar{r}\}, \quad (35)$$

**Figure 5. Normalisation rules for the calculus.**

normalisation (ignoring for the moment the fact that  $C'$  and  $D_R$  may be  $\oplus$ -unions), looks like

$$\oplus\{c \mid c \leftarrow C', x \leftarrow D_R, f \leftarrow x.a_R, d \leftarrow D', \text{match}(c, x), \text{match}(f, d)\}. \quad (41)$$

However, if the type of  $C'$  is such that the elements can be guaranteed to be in  $D_R$ , the iteration over  $x$  can be dispensed with. In this case, each instantiation of the variable  $c$  has its own set  $c.a_R$  of  $R$ -fillers and the query can be answered by the comprehension

$$\oplus\{c \mid c \leftarrow C', f \leftarrow c.a_R, d \leftarrow D', \text{match}(f, d)\} \quad (42)$$

The optimization rules (37) and (38) in Figure 6 achieve the required simplification.

Similarly, if a comprehension has two generators whose variables are supposed to match but whose domains are known to be incompatible from the ontology, then the comprehension is empty. This is captured by rule (39) in Figure 6.

Another optimization can be applied to a comprehension  $C$  that contains a generator of the form  $x \leftarrow X \oplus Z$ . If the predicate of  $C$  implies matches between  $x$  and other variables, then it may be that the intersection of the ranges of those variables is contained in  $X$ . In that case we can restrict  $x$  to range only over  $X$ . The formalisation of this rule (rule (40) in Figure 6) refers to the predicate *can-restrict* defined as follows. Let  $x$  be a variable,  $X$  a union of extents,  $p$  a predicate and  $\bar{r}$  a sequence of generators. Let  $\{y_i\}$  be the set of expressions which are related to  $x$  by *match*() conjuncts in  $p$ , not including  $x$  itself. The  $y_i$  are the elements (excluding  $x$ ) of the connected component of the graph de-

finied by the *match*() conjuncts in  $p$ . Each  $y_i$  is either a variable or a path expression of the form  $z.a_1 \dots a_n$  where  $z$  is a variable and the  $a_j$  are attributes. So each  $y_i$  has a type which corresponds to a DL concept  $Y_i$ . Let  $X'$  be the DL concept corresponding to  $X$ . Then *can-restrict*( $x, X, p, \bar{q}$ ) is true if  $(\prod_i Y_i) \sqsubseteq X'$  (which we can find out from the DL classifier) and false otherwise.

### 6.3 Simplification Example

As an example of query simplification, we can consider the translation (28) of query (16) from Section 5. This form immediately admits a simplification by rule (40), since the type of  $p_3$  is `PIR_Protein` which corresponds to the concept `pir_protein` so that the `sp_proteins` summand in the domain of  $p_2$  can be eliminated. Normalisation then yields

$$\begin{aligned} \oplus\{p_2 \mid p_2 \leftarrow \text{pir\_proteins}, p_1 \leftarrow \text{pir\_proteins}, \\ r_2 \leftarrow p_1.\text{references}, r_1 \leftarrow \text{references}, \\ t \leftarrow \text{top\_journals}, \text{match}(r_1.\text{journal}, t) \wedge \\ \text{match}(r_2, r_1) \wedge \text{match}(p_2, p_1)\}. \end{aligned} \quad (43)$$

Two applications of rule (37) then eliminate the variables  $p_1$  and  $r_1$ , leaving the form

$$\oplus\{p_2 \mid p_2 \leftarrow \text{pir\_proteins}, r_2 \leftarrow p_2.\text{references}, \\ t \leftarrow \text{top\_journals}, \text{match}(r_2.\text{journal}, t)\}. \quad (44)$$

Note that the *match*() comparison which remains is between values of type `String` and so it will be evaluated by a simple equality test.

$$\oplus\{e \mid \bar{q}, v \leftarrow X, \bar{r}, w \leftarrow Y, \bar{s}, \text{match}(v, w) \wedge p\} \longrightarrow \oplus\{e[v/w] \mid \bar{q}, v \leftarrow X, \bar{r}, \bar{s}[v/w], p[v/w]\}, \quad \text{if } X \sqsubseteq Y \quad (37)$$

$$\oplus\{e \mid \bar{q}, v \leftarrow X, \bar{r}, w \leftarrow Y, \bar{s}, \text{match}(v, w) \wedge p\} \longrightarrow \oplus\{e[v/w] \mid \bar{q}, v \leftarrow Y, \bar{r}, \bar{s}[v/w], p[v/w]\}, \quad \text{if } Y \sqsubseteq X \quad (38)$$

$$\oplus\{e \mid \bar{q}, v \leftarrow X, \bar{r}, w \leftarrow Y, \bar{s}, \text{match}(v, w) \wedge p\} \longrightarrow \emptyset, \quad \text{if } X \sqcap Y \doteq \perp. \quad (39)$$

$$\oplus\{e \mid \bar{q}, x \leftarrow X \oplus Z, \bar{r}, p\} \longrightarrow \oplus\{e \mid \bar{q}, x \leftarrow X, \bar{r}, p\}, \quad \text{if } \text{can-restrict}(x, X, p, \text{concat}(q, r)) \quad (40)$$

Figure 6. Semantic optimization rules for the calculus.

## 7 Conclusions

The provision of knowledge-based information integration systems has been a focus of research activity for a considerable period, as it holds out the hope that high-level, declarative representations of resources can be used for schema reconciliation and query answering. This paper seeks to contribute to this line of research by bringing together recent results on expressive description logics and object database query processing to provide more expressive modelling and query processing to global-as-view query systems. The paper not only shows how queries over an  $\mathcal{ALCQI}$  ontology can be mapped to the monoid calculus for evaluation, but has also demonstrated how information from the ontology can be used to simplify the resulting calculus expression.

The motivation for this work has come from bioinformatics, in which query-oriented access to heterogeneous sources is seen important, but in which existing sources are both numerous and of complex structure. The results described in the paper have been implemented for validation purposes, and work is underway to couple the query optimizer to an evaluator running over object-wrapped bioinformatics sources.

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